

**Universidade Federal do Triângulo Mineiro**  
**Programa de Pós-Graduação em Ciências da Saúde**

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***AValiação de Citocinas e Quimiocinas no Soro e em  
Biópsias Renais de Pacientes Diabéticos com e sem  
Alteração Renal***

**Uberaba - MG**

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Liliane Silvano Araújo

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com e sem alteração renal

Tese apresentada ao Programa de Pós-Graduação em Ciências da Saúde, área de concentração Patologia Investigativa, da Universidade Federal do Triângulo Mineiro, como requisito parcial para obtenção do título de Doutor.

Orientadora: Profa. Dra. Juliana Reis Machado e Silva  
Coorientadora: Profa. Dra. Marlene Antônia dos Reis

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*Dedico este trabalho às minhas filhas  
queridas Isabella Silvano Vieira Alves e  
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*“Pra você guardei o amor  
Que nunca soube dar  
O amor que tive e vi sem me deixar  
Sentir sem conseguir provar  
Sem entregar  
E repartir”*  
(Pra você guardei o amor – Nando Reis)

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***“Aprender é igual a mudar, e entender é apenas conhecer.  
A diferença entre os dois é o que diferencia os que fazem  
dos que apenas pensam em fazer.”***

(Paulo Vieira)

## RESUMO

**Introdução:** O Diabetes Mellitus tipo 2 (DMT2) é o subtipo mais prevalente do Diabetes Mellitus, e a Nefropatia Diabética (ND) a complicação microvascular crônica mais frequente no DMT2, considerada a principal causa de insuficiência renal terminal no mundo. A inflamação crônica de baixo grau tem sido apontada como um dos fatores desencadeadores da lesão renal nos pacientes com DMT2. Porém, estudos ainda são necessários para avaliar a influência do processo inflamatório na promoção da diminuição da função renal nos pacientes DMT2 e na progressão da ND. Assim, o objetivo deste estudo foi avaliar as concentrações séricas de mediadores inflamatórios em pacientes DMT2 com ou sem alteração renal (AR), como também a expressão de citocinas e quimiocinas inflamatórias (IL-1 $\beta$ , IL-4, IL-6, IL-8, IL-10, TNF- $\alpha$ , TNFR1 e Eotaxina) em biópsias renais de rim nativo de pacientes diabéticos com ND, bem como a relação desses mediadores com a diminuição da função renal. **Material e métodos:** Foram selecionadas amostras de soro de 76 pacientes com DMT2 e 24 indivíduos saudáveis. Os pacientes com DMT2 foram divididos em dois grupos de acordo com a taxa de filtração glomerular estimada (TFGe): Grupo DMT2 sem AR (n=56, com TFGe>60 mL/min/1.73m<sup>2</sup>) e grupo DMT2 com AR (n=20, com TFGe<60 mL/min/1.73m<sup>2</sup>). Níveis séricos de citocinas, quimiocinas e adipocinas foram avaliados pelo imunoensaio Multiplex e ELISA. Para análise *in situ*, 44 biópsias de rim nativo de pacientes com ND (grupo ND) e 23 casos controles (grupo controle) foram selecionados. Expressões *in situ* de eotaxina, MIP-1 $\alpha$ , IL-8, IL-4, IL-10, TNF- $\alpha$ , TNFR1, IL-1 $\beta$  e IL-6 foram avaliadas pela técnica de imunohistoquímica. **Resultados:** Níveis séricos de TNFR1 e leptina foram maiores no grupo DMT2 com AR do que no grupo DMT2 sem AR e grupo controle. Todos os pacientes com DMT2 apresentaram aumento dos níveis séricos de resistina, IL-8 e MIP-1 $\alpha$  em comparação ao grupo controle. Níveis séricos de adiponectina foram maiores e de IL-4 diminuídos no grupo DMT2 com AR em comparação ao grupo controle. A TFGe correlacionou-se positivamente com IL-4 e negativamente com TNFR1, TNFR2 e leptina em pacientes com DMT2. No grupo DMT2 com AR, a TFGe apresentou correlação negativa com TNFR1 e resistina. O TNFR1 foi correlacionado positivamente com resistina e leptina, bem como resistina com IL-8 e leptina. Na análise *in situ* foi observado que o grupo ND apresentou aumento da expressão de IL-6, IL-1 $\beta$ , IL-4 e eotaxina, e diminuição da expressão de TNFR1 e IL-8 em comparação ao grupo controle. No entanto, as expressões de IL-10, TNF- $\alpha$  e MIP-1 $\alpha$  não apresentaram diferença significativa entre os grupos. Em relação a inflamação intersticial, houve aumento das expressões de IL-6 nos escores 0 e 1 em relação ao escore 2, de IL-10 no escore 2 em relação

ao escore 0 e de eotaxina no escore 2 em comparação aos escores 0 e 1, enquanto que IL-8 e MIP-1 $\alpha$  não apresentaram diferença significativa. Além disso, foi observado que a eotaxina apresentou uma tendência a ter correlação negativa com a TFG<sub>e</sub>. Conclusões: O aumento dos níveis séricos de TNFR1, adipocinas, quimiocinas e diminuição da IL-4 desempenham papel importante no processo inflamatório desenvolvido no DMT2 e diminuição da função renal. Além disso, sugerimos que o TNFR1 sérico é um forte preditor de disfunção renal em pacientes com DMT2. Na avaliação da expressão *in situ*, pacientes com ND apresentaram aumento das expressões de IL-6, IL-1 $\beta$ , IL-4 e eotaxina. Sendo observado que a expressão de eotaxina pode estar desempenhando um importante papel na progressão da inflamação intersticial na ND, como também pode estar relacionada com a diminuição da TFG<sub>e</sub> nestes pacientes.

Palavras-chave: Diabetes mellitus tipo 2. Nefropatia Diabética. Mediadores inflamatórios. Taxa de filtração glomerular estimada. Alteração renal. Biópsia renal. Inflamação intersticial.

## ABSTRACT

Introduction: Type 2 Diabetes Mellitus (T2DM) is the most prevalent subtype of Diabetes Mellitus, and Diabetic Nephropathy (DN) is the most common chronic microvascular complication in T2DM, considered the leading cause of end-stage renal failure in the world. Low-grade chronic inflammation has been identified as one of the triggering factors for kidney injury in T2DM patients. However, studies are still needed to evaluate the influence of the inflammatory process on the promotion of decreased renal function in T2DM patients and on the progression of DN. Thus, the aim of this study was to evaluate the serum concentrations of inflammatory mediators in T2DM patients with or without renal alteration (RA), as well as the expression of inflammatory cytokines and chemokines (IL-1 $\beta$ , IL-4, IL-6, IL-8, IL-10, TNF- $\alpha$ , TNFR1 and Eotaxin) in renal kidney biopsies native to diabetic patients with DN, as well as the relationship of these mediators with decreased renal function. Material and methods: Serum samples from 76 patients with T2DM and 24 healthy individuals were selected. Patients with T2DM were divided into two groups according to the estimated glomerular filtration rate (eGFR): T2DM group without RA (n= 56, with eGFR > 60 mL / min / 1.73m<sup>2</sup>) and T2DM group with RA (n= 20, with eGFR <60 mL / min / 1.73m<sup>2</sup>). Serum levels of cytokines, chemokines and adipokines were evaluated by Multiplex and ELISA immunoassay. For *in situ* analysis, 44 native kidney biopsies from patients with DN (DN group) and 23 control cases (control group) were selected. *In situ* expressions of eotaxin, MIP-1 $\alpha$ , IL-8, IL-4, IL-10, TNF- $\alpha$ , TNFR1, IL-1 $\beta$  and IL-6 were evaluated by immunohistochemistry. Results: Serum levels of TNFR1 and leptin were higher in the T2DM group with RA than in the T2DM group without RA and control group. All patients with T2DM had increased serum resistin, IL-8 and MIP-1 $\alpha$  levels compared to the control group. Serum adiponectin levels were higher and IL-4 decreased in the T2DM group with RA compared to the control group. eGFR positively correlated with IL-4 and negatively with TNFR1, TNFR2 and leptin in patients with T2DM. In the T2DM group with RA, eGFR was negatively correlated with TNFR1 and resistin. TNFR1 was positively correlated with resistin and leptin, as well as resistin with IL-8 and leptin. In the *in situ* analysis, it was observed that the DN group showed increased expression of IL-6, IL-1 $\beta$ , IL-4 and eotaxin, and decreased expression of TNFR1 and IL-8 compared to the control group. However, the expressions of IL-10, TNF- $\alpha$  and MIP-1 $\alpha$  showed no significant difference between groups. Regarding interstitial inflammation, there was an increase in IL-6 expressions in scores 0 and 1 compared to score 2, IL-10 in score 2 compared to score 0, and eotaxin in score 2 compared to scores 0 and 1, while IL-8 and MIP-1 $\alpha$  showed no significant difference.

In addition, it was observed that eotaxin tended to have a negative correlation with eGFR. Conclusions: Increased serum levels of TNFR1, adipokines, chemokines and decreased IL-4 play important role in the inflammatory process developed in T2DM and decreased renal function. In addition, we suggest that serum TNFR1 is a strong predictor of renal dysfunction in patients with T2DM. In the evaluation of *in situ* expression, patients with DN presented increased expression of IL-6, IL-1 $\beta$ , IL-4 and eotaxin. It was observed that eotaxin expression may be playing an important role in the progression of interstitial inflammation in DN, as well as being related to the decrease of eGFR in these patients.

Keywords: Type 2 diabetes mellitus. Diabetic Nephropathy. Inflammatory mediators. Estimated glomerular filtration rate. Renal alteration. Renal biopsy. Interstitial inflammation.

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## LISTA DE ABREVIATURAS E SIGLAS

AGEs: Produtos finais de glicação avançada

CKD-EPI: Chronic Kidney Disease Epidemiology Collaboration

CTGF: Fator de crescimento tecido conectivo

DRC: Doença renal crônica

DMT1: Diabetes Mellitus tipo 1

DMT2: Diabetes Mellitus tipo 2

ERO: Espécies reativas de oxigênio

EUA: Excreção urinária de albumina

FIAT: Fibrose intersticial e atrofia tubular

HE: Hematoxilina e eosina

ICAM-1: molécula de adesão intercelular-1

Ig: Imunoglobulina

IL: Interleucina

IL-1RA: Receptor antagonista da interleucina 1

IFN- $\gamma$ : Interferon- $\gamma$

MBG: Membrana basal glomerular

MEC: Matriz extracelular

MET: Microscopia eletrônica de transmissão

MIP-1 $\alpha$ : Proteína inflamatória de macrófagos-1 $\alpha$

MIP-1 $\beta$ : Proteína inflamatória de macrófagos-1 $\beta$

ND: Nefropatia Diabética

NK: Natural killer

NF- $\kappa$ B: Fator nuclear kappa B

PAMS: Prata metenamina

PDGF: Fator de crescimento derivado de plaquetas

PKC: Proteína quinase C

RAGES: Receptor de produtos finais de glicação avançada

SOCS: Proteínas supressoras de sinalização de citocinas

TFG: Taxa de filtração glomerular

TFGe: Taxa de filtração glomerular estimada

TGF- $\beta$ 1: Fator transformador de crescimento beta 1

TMA: Tricômico de Masson Azul

TNF- $\alpha$ : Fator de necrose tumoral alfa

TNFR1: Receptor 1 do fator de necrose tumoral

TNFR2: Receptor 2 do fator de necrose tumoral

VCAM-1: Molécula de adesão celular vascular-1

VEGF: Fator de crescimento endotelial vascular



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## *Introdução*

# 1 INTRODUÇÃO

## 1.1 DIABETES MELLITUS TIPO 2 E INFLAMAÇÃO

O Diabetes Mellitus tipo 2 (DMT2) é um dos subtipos mais prevalentes do Diabetes Mellitus, correspondendo 90 a 95% dos casos. Caracterizado como uma desordem metabólica resultante da deficiência relativa da produção de insulina e/ou de sua ação, que culminará no aumento dos níveis séricos de glicose. Possui etiologia complexa e multifatorial, envolvendo componentes genético e ambiental. Geralmente, acomete indivíduos a partir da quarta década de vida, e atualmente é considerado a principal causa de doença renal crônica (GREGG; LI; WANG; BURROWS *et al.*, 2014; MARATHE; GAO; CLOSE, 2017). De acordo com os dados da International Diabetes Federation, estima-se que o número de diabéticos na população com idade superior a 18 anos poderá alcançar 693 milhões até 2045 em todo o mundo (CHO; SHAW; KARURANGA; HUANG *et al.*, 2018).

O processo de hiperglicemia desenvolvido no DMT2 está fortemente associado ao desenvolvimento de complicações macrovasculares e microvasculares, podendo acometer vários órgãos, sendo o rim um dos mais acometidos (CHAWLA; CHAWLA; JAGGI, 2016).

A inflamação crônica de baixo grau, caracterizada pela produção de citocinas, quimiocinas e adipocinas, está envolvida nos processos patogênicos causadores de DMT2 e suas complicações, sendo a Nefropatia Diabética (ND) uma das complicações microvasculares mais prevalente (ARAÚJO; SILVA; SILVA; MONTEIRO *et al.*, 2016; CEBECI; CAKAN; GURSU; UZUN *et al.*, 2019; GUPTA; MARATHA; SIEDNIENKO; NATARAJAN *et al.*, 2017; NAVARRO; MORA, 2006; NAVARRO-GONZÁLEZ; MORA-FERNÁNDEZ, 2008). Evidências apontam que a ativação do sistema imune inato e do desenvolvimento de inflamação crônica sistêmica de baixo grau estão intimamente envolvidos na patogênese do DMT2 (CROOK, 2004; PICKUP, 2004).

A hiperglicemia está associada ao aumento da produção de citocinas mediada por mecanismos oxidativos. O excesso de produção de espécies reativas de oxigênio (ERO), associada à interação dos produtos finais de glicação avançada (AGEs) com seus receptores (RAGEs) no endotélio, leva à produção de citocinas pelas células endoteliais. O aumento expressivo da produção dessas citocinas está associado à disfunção endotelial (ESPOSITO; NAPPO; MARFELLA; GIUGLIANO *et al.*, 2002).

33 Várias citocinas secretadas tanto pelas células imunes quanto pelos adipócitos,  
34 encontram-se envolvidas no processo inflamatório do DMT2. Geralmente, níveis séricos de  
35 proteínas de fase aguda, como o fator de necrose tumoral- $\alpha$  (TNF- $\alpha$ ), interleucina-6 (IL-6), IL-  
36 1 $\beta$ , proteína amiloide A, ácido siálico e proteína C reativa encontram-se elevados no DMT2.  
37 No entanto, outras citocinas, quimiocinas e adipocinas também podem estar desenvolvendo  
38 papéis importantes nesse processo inflamatório (ARAÚJO; SILVA; SILVA; MONTEIRO *et*  
39 *al.*, 2016; CROOK, 2004; PICKUP, 2004). Acredita-se que além da elevação de citocinas pró-  
40 inflamatórias, o DMT2 pode estar associado a uma resposta anti-inflamatória menos eficaz  
41 (GUEST; PARK; JOHNSON; FREUND, 2008). Curiosamente, algumas citocinas anti-  
42 inflamatórias, incluindo IL-4 e IL-10, compartilham os principais componentes de sinalização  
43 com o receptor de insulina e, por isso são suscetíveis a mecanismos de resistência semelhantes  
44 ao processo de resistência à insulina (GUEST; PARK; JOHNSON; FREUND, 2008; MORINO;  
45 PETERSEN; SHULMAN, 2006).

46 A IL-4 é uma citocina de perfil Th2, produzida por linfócitos TCD4<sup>+</sup>, mastócitos,  
47 eosinófilos e basófilos. Tem ação na redução da secreção de citocinas pró-inflamatórias,  
48 incluindo o TNF- $\alpha$ , por macrófagos ativados e estimula a produção de uma série de moléculas  
49 anti-inflamatórias como, IL-1RA (receptor antagonista da IL-1) (VANNIER; MILLER;  
50 DINARELLO, 1992), IL-1R2 (POUSSET; CREMONA; DANTZER; KELLEY *et al.*, 2001) e  
51 receptores de TNF solúveis (MANNA; AGGARWAL, 1998), além de estimular a proliferação  
52 de células B e produção de IgG e IgE, importantes nas respostas alérgicas e anti-helmínticas  
53 (NELMS; KEEGAN; ZAMORANO; RYAN *et al.*, 1999). A IL-4 é pouco estudada no DMT2,  
54 no entanto já foi demonstrado que possui um papel regulador positivo na tolerância à glicose e  
55 sensibilidade à insulina, que pode ser devido a sua ação anti-inflamatória, inibindo a produção  
56 de citocinas indutoras de resistência à insulina, como TNF- $\alpha$  e IL-6 (CHANG; HO; LU;  
57 HUANG *et al.*, 2012). Um distúrbio da resposta anti-inflamatória pode ser um componente  
58 crítico da inflamação crônica encontrada no DMT2 (O'CONNOR; SHERRY; GUEST;  
59 FREUND, 2007). Elevados níveis séricos de IL-4 foi observado em pacientes DMT2 e obesos  
60 (BINISOR; MOLDOVAN; MOLDOVAN; ANDREI *et al.*, 2016) que pode ser devido à  
61 resistência a IL-4 em pacientes DMT2, resultado de um aumento adaptativo dos seus níveis  
62 (O'CONNOR; SHERRY; GUEST; FREUND, 2007).

63 O TNF- $\alpha$  é uma citocina pleiotrópica de perfil Th1, conhecida por suas propriedades  
64 pró-inflamatórias, que desempenha papel essencial na mediação dos processos inflamatórios. É

65 uma proteína homotrimérica transmembrana produzida por muitas células, incluindo células  
66 adiposas, endoteliais e leucócitos. O TNF- $\alpha$  exerce sua função via dois receptores, o receptor 1  
67 do TNF (TNFR1) e o receptor 2 do TNF (TNFR2). Membros da superfamília dos receptores de  
68 TNF, o TNFR1 é expresso em quase todas as células (NIEWCZAS; GOHDA; SKUPIEN;  
69 SMILES *et al.*, 2012), enquanto que o TNFR2 é restrito ao linfócito T e outras poucas células  
70 (YANG; WANG; BRAND; ZHENG, 2018). Os receptores, TNFR1 e TNFR2, são proteínas  
71 transmembranas. Formas solúveis podem ser geradas através da clivagem enzimática do  
72 receptor por metaloproteinases inflamatórias ou pela inclusão do receptor nos exossomos  
73 secretores (HAWARI; ROUHANI; CUI; YU *et al.*, 2004; LAINEZ; FERNANDEZ-REAL;  
74 ROMERO; ESPLUGUES *et al.*, 2004).

75 Além de suas propriedades pró-inflamatórias, o TNF- $\alpha$  também exerce efeitos no  
76 metabolismo da glicose e dos lipídios (HOTAMISLIGIL; SHARGILL; SPIEGELMAN, 1993).  
77 Em baixas concentrações, o TNF- $\alpha$  atua localmente como um regulador da resposta  
78 inflamatória imunológica (efeitos autócrinos e parácrinos). Já em altas concentrações, o TNF-  
79  $\alpha$  entra na circulação, e de forma sistêmica atua como um fator endócrino, associado à  
80 resistência à insulina (FASSHAUER; PASCHKE, 2003). O TNF- $\alpha$  causa um aumento na  
81 liberação de ácidos graxos pelos adipócitos, resultando em níveis aumentados de ácidos graxos  
82 livres, que podem deteriorar a sinalização da insulina (RUAN; MILES; LADD; ROSS *et al.*,  
83 2002). Além disso, o TNF- $\alpha$  pode agir inibindo a transdução do sinal de insulina e também  
84 diminuindo sua secreção (HOTAMISLIGIL, 1999). Já foi demonstrado que pacientes DMT2  
85 apresentaram elevados níveis séricos de TNF- $\alpha$ , que foi confirmado pela correlação positiva e  
86 significativa entre TNF- $\alpha$  e glicose (CHEN; WANG; LIU; MA *et al.*, 2013). Estudos com  
87 indivíduos DMT2 tem associado os elevados níveis séricos dos receptores 1 e 2 do TNF- $\alpha$  ao  
88 risco de doença renal terminal, como também têm demonstrado uma associação do aumento  
89 dos níveis séricos do TNFR1 com a diminuição da taxa de filtração glomerular estimada (TFGe)  
90 (DOODY; JACKSON; ELLIOTT; CANAVAN *et al.*, 2018; NIEWCZAS; GOHDA;  
91 SKUPIEN; SMILES *et al.*, 2012).

92 O interferon- $\gamma$  (IFN- $\gamma$ ) é uma citocina produzida principalmente por células T, B e  
93 *natural killer* (NK). Age na inibição da proliferação de células que sintetizam IL-4, IL-5, IL-6,  
94 IL-10 e IL-13 e na diminuição da produção de algumas imunoglobulinas. O IFN- $\gamma$  tem sido  
95 implicado na patogênese de várias doenças autoimunes, em processos inflamatórios crônicos  
96 (FARRAR; SCHREIBER, 1993) e na estimulação da expressão de receptores de quimiocinas

97 em células mesangiais humanas (UCIECHOWSKI; SCHWARZ; GESSNER; SCHMIDT *et al.*,  
98 1998). Foi demonstrado que tanto indivíduos com DMT2 quanto com ND apresentaram  
99 elevados níveis séricos de IFN- $\gamma$  quando comparado ao grupo controle, com tendência a  
100 permanecerem mais elevados na ND (ANAND; VASANTHAKUMAR; MOHAN; BABU *et*  
101 *al.*, 2014). Por outro lado, recentemente, um estudo experimental demonstrou que o INF- $\gamma$  pode  
102 apresentar efeito protetor no rim de animais DMT2 ao exercer supressão da expressão de TGF-  
103  $\beta$ 1 e colágeno IV e atenuar o acúmulo excessivo de matriz mesangial (DU; DONG; LI; LI *et*  
104 *al.*, 2018).

105 As quimiocinas são uma grande família de pequenas citocinas de baixo peso molecular  
106 que varia de 7 a 15KDa e possuem capacidades de controle da adesão, quimiotaxia e ativação  
107 leucocitária. Juntamente aos seus receptores, as quimiocinas controlam a migração e a  
108 residência das células imunes. Algumas quimiocinas apresentam perfil pró-inflamatório e  
109 podem ser induzidas durante a resposta imune no sítio de infecção, enquanto outras são  
110 consideradas homeostáticas e estão envolvidas no controle da migração celular durante o  
111 desenvolvimento ou a manutenção dos tecidos. Existem duas grandes subfamílias de  
112 quimiocinas baseadas na posição dos resíduos de cisteínas: a família das quimiocinas CXC e  
113 CC. De modo geral, as quimiocinas CXC, também conhecidas como as alfa-quimiocinas,  
114 estimulam a quimiotaxia de neutrófilos, enquanto que as quimiocinas CC, também conhecidas  
115 como beta-quimiocinas, estimulam principalmente a quimiotaxia de monócitos, mas também  
116 de basófilos, eosinófilos, linfócitos T e as células NK (PALOMINO; MARTI, 2015).

117 A IL-8 (CXCL8) foi a primeira quimiocina a ser descoberta e exerce  
118 predominantemente efeito quimioatrativo para neutrófilos (GERARD; ROLLINS, 2001),  
119 estimula a liberação de seus grânulos, aumenta a expressão de moléculas de adesão pelas células  
120 endoteliais e antagoniza a produção IgE estimulada pela IL-4 (ZWAHLEN; WALZ; ROT,  
121 1993). Produzida principalmente por monócitos/macrófagos e em menor quantidade por  
122 fibroblastos, células endoteliais, queratinócitos, hepatócitos, melanócitos e condrócitos. IL-1,  
123 TNF- $\alpha$  e IFN- $\gamma$  são seus principais estimuladores (BAGGIOLINI; DEWALD; MOSER, 1994).  
124 Aumento dos níveis urinários de IL-8 já foram relatados em pacientes com DMT2 e com ND,  
125 assim como uma associação do aumento de IL-8 com o declínio da taxa de filtração glomerular  
126 (VERHAVE; BOUCHARD; GOUPIL; PICHETTE *et al.*, 2013).

127 As quimiocinas, proteína inflamatória de macrófagos-1 $\alpha$  (MIP-1 $\alpha$  /CCL3) e a proteína  
128 inflamatória de macrófagos-1 $\beta$  (MIP-1 $\beta$  /CCL4) pertencem a subfamília CC das quimiocinas e

129 induzem a expressão de moléculas de adesão e moléculas co-estimuladoras na superfície das  
130 células T, células NK, macrófagos e monócitos. Essas quimiocinas além de mediarem a  
131 quimiotaxia dessas células, também promovem a secreção de citocinas pró-inflamatórias, como  
132 a IL-1, IL-6 e TNF- $\alpha$  a partir de fibroblastos e macrófagos (LUSTER, 1998). Em estudos com  
133 pacientes DMT2 foi observado aumento dos níveis séricos de MIP-1 $\alpha$  e MIP-1 $\beta$  em associação  
134 com o declínio da taxa de filtração glomerular (KONENKOV; KLIMONTOV; MYAKINA;  
135 TYAN *et al.*, 2015; PERLMAN; CHEVALIER; WILKINSON; LIU *et al.*, 2015).

136 Outra quimiocina da subfamília CC é a eotaxina (CCL11), que tem ação quimiotática  
137 principalmente de eosinófilos. A eotaxina é secretada por células endoteliais, macrófagos,  
138 fibroblastos e células musculares lisas (GARCIA-ZEPEDA; ROTHENBERG; OWNBEY;  
139 CELESTIN *et al.*, 1996). Já foi demonstrado que o processo de hiperglicemia prolongado  
140 aumenta a excreção urinária de eotaxina (CHERNEY; SCHOLEY; SOCHETT; BRADLEY *et*  
141 *al.*, 2011) e o aumento tanto dos níveis urinários, quanto dos séricos de eotaxina pode estar  
142 associado às complicações do DMT2 (ADELA; REDDY; GHOSH; AGGARWAL *et al.*, 2019;  
143 LIU; ZHAO; WILLCOX; XU *et al.*, 2010).

144 Além das citocinas e quimiocinas, as adipocinas, como a adiponectina, a resistina e a  
145 leptina também encontram-se associadas à inflamação e ao diabetes. A adiponectina é uma  
146 adipocina secretada exclusivamente por adipócitos humanos (KADOWAKI; YAMAUCHI,  
147 2005) e exerce seu efeito biológico ao se ligar a receptores específicos encontrados em várias  
148 células, principalmente miócitos e células hepáticas (BRUUN; LIHN; VERDICH; PEDERSEN  
149 *et al.*, 2003). Níveis plasmáticos de adiponectina são inversamente correlacionados com os  
150 níveis de insulina, apresenta efeitos benéficos na resistência à insulina e propriedades anti-  
151 inflamatórias (TURER; SCHERER, 2012) e anti-oxidativas (MATSUDA; SHIMOMURA,  
152 2014). Sugere-se que a ação anti-inflamatória exibida pela adiponectina seja pela inibição da  
153 produção de citocinas pró-inflamatórias, como IL-6 e TNF- $\alpha$  nos macrófagos e/ou por reduzir  
154 sua ação fagocitária (GARCIA; FEVE; FERRÉ; HALIMI *et al.*, 2010).

155 A leptina é uma adipocina secretada principalmente por adipócitos, mas que também  
156 pode ser produzida por outras células, como os macrófagos. Produto proteico do gene da  
157 obesidade (*ob*), tem sido relacionada a vários fatores metabólicos e inflamatórios, e a expressão  
158 desse gene é regulada por diferentes fatores, incluindo níveis de insulina. Os níveis séricos de  
159 leptina são diretamente proporcionais à massa total de gordura e em condições inflamatórias,  
160 há o aumento de sua produção. A leptina também pode modular as respostas imunes inata e

161 adaptativa, incluindo as respostas de células T, ativação de monócitos e macrófagos e indução  
162 de mediadores pró-inflamatórios (LONTCHI-YIMAGOU; SOBNGWI; MATSHA; KENGNE,  
163 2013). Encontra-se envolvida no controle da ingestão alimentar, levando à supressão do apetite,  
164 no entanto pacientes obesos apresentam hiperleptinemia devido ao desenvolvimento de  
165 resistência à leptina, possivelmente pelo mecanismo de interrupção da sinalização da cascata  
166 do receptor de leptina pelas proteínas supressoras de sinalização de citocinas (SOCS)  
167 (KATSIKI; MIKHAILIDIS; BANACH, 2018; O'CONNOR; JOHNSON; FREUND, 2006).  
168 Elevados níveis de leptina estão associados com resistência à insulina e desenvolvimento de  
169 DMT2 (ANDRADE-OLIVEIRA; CÂMARA; MORAES-VIEIRA, 2015). Porém, já foi  
170 demonstrado que tanto a diminuição, quanto o aumento da concentração de leptina são fatores  
171 de risco para o declínio da função renal em pacientes DMT2 (HANAI; BABAZONO;  
172 MUGISHIMA; YOSHIDA *et al.*, 2011).

173 A resistina é uma proteína secretada principalmente em macrófagos e monócitos nos  
174 seres humanos e possui efeitos pró-inflamatórios (BOKAREWA; NAGAEV; DAHLBERG;  
175 SMITH *et al.*, 2005; STEPPAN; BAILEY; BHAT; BROWN *et al.*, 2001). O aumento dos  
176 níveis séricos de citocinas pró-inflamatórias foi associado ao aumento dos níveis séricos de  
177 resistina nos pacientes com DMT2 (BOKAREWA; NAGAEV; DAHLBERG; SMITH *et al.*,  
178 2005; MCTERNAN; FISHER; VALSAMAKIS; CHETTY *et al.*, 2003). Também foi  
179 demonstrado recentemente, que pacientes DMT2 microalbuminúricos e com diminuição da  
180 função renal apresentaram aumento significativo dos níveis séricos de resistina em comparação  
181 aos pacientes DMT2 com função renal normal (CEBECI; CAKAN; GURSU; UZUN *et al.*,  
182 2019).

183 O desequilíbrio entre mediadores é considerado o gatilho causador ou exacerbador das  
184 complicações do DMT2. A ativação do sistema imune inato, por si só, induz a hiperglicemia e  
185 a resistência à insulina. Dessa maneira, diabetes e a inflamação encontram-se simultaneamente  
186 envolvidas, desempenhando papéis de fonte de alimentação recíproca (GUEST; PARK;  
187 JOHNSON; FREUND, 2008).



## 188 1.2 NEFROPATIA DIABÉTICA

189

190 A ND é uma complicação microvascular crônica resultante tanto do DMT1 quanto do  
191 DMT2 (BATLLE, 2003). Ocorre em 20% a 30% dos pacientes com DMT2 e é considerada a  
192 principal causa de insuficiência renal terminal com necessidade de terapia renal substitutiva  
193 (CHO; SHAW; KARURANGA; HUANG *et al.*, 2018). Clinicamente é caracterizada pelo  
194 aumento da excreção urinária de albumina (maior que 300 mg/24h), declínio na taxa filtração  
195 glomerular e aumento da pressão arterial (GROSS; NEHME, 1999; PARVING; SMIDT;  
196 FRIISBERG; BONNEVIE-NIELSEN *et al.*, 1981).

197 Em 1936, Kimmelstiel e Wilson descreveram lesões intercapilares glomerulares  
198 encontradas em pacientes com DMT2 que, posteriormente vieram a ser denominadas “nódulos  
199 de Kimmelstiel e Wilson” ou expansão mesangial. Estas lesões são observadas em 40 a 50%  
200 dos pacientes diabéticos em fase de proteinúria (KIMMELSTIEL; WILSON, 1936).

201 A patogênese da ND vem sendo investigada em vários aspectos. A hiperglicemia  
202 desempenha um papel central e representa o ponto de partida na ativação de várias vias. Os  
203 mecanismos patogênicos subjacentes a esta doença, envolve a geração de espécies reativas de  
204 oxigênio (ERO), acúmulo de AGEs e ativação de vias como a da proteína quinase C (PKC), do  
205 poliol e das hexosaminas (CHAWLA; CHAWLA; JAGGI, 2016). A ativação destes  
206 mecanismos levam a várias respostas celulares, à expressão de fatores de secreção e acúmulo  
207 de matriz extracelular (MEC), que em última instância vão resultar em alterações da barreira  
208 de filtração glomerular com expansão mesangial, esclerose glomerular nodular e fibrose túbulo-  
209 intersticial, que irão resultar em albuminúria progressiva, diminuição da taxa de filtração  
210 glomerular e elevação da pressão arterial (ARORA; SINGH, 2013; EL MESALLAMY;  
211 AHMED; BASSYOUNI; AHMED, 2012; GARUD; KULKARNI, 2014).

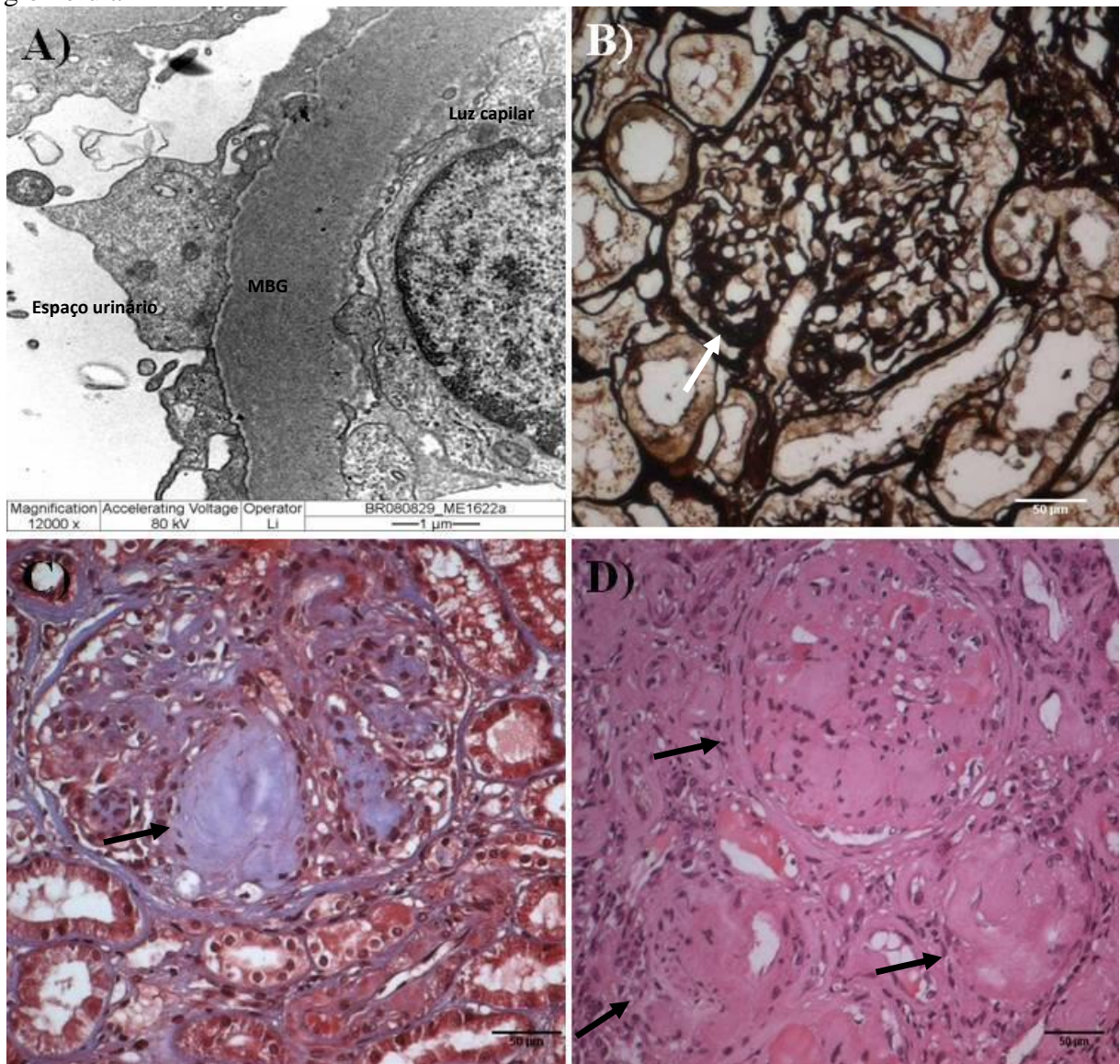
212 Em condições de hiperglicemia crônica, a geração de ERO que ativa fatores de  
213 transcrição (NF- $\kappa$ B – fator nuclear kappa B) e o acúmulo de AGEs, além de contribuírem com  
214 o aumento da MEC (GARUD; KULKARNI, 2014), também contribuem para liberação de  
215 citocinas pró-inflamatórias e expressão de fatores de crescimento e moléculas de adesão, tais  
216 como fator de crescimento transformador  $\beta$ 1 (TGF- $\beta$ 1), fator de crescimento endotelial vascular  
217 (VEGF), fator de crescimento tecido conectivo (CTGF), fator de crescimento derivado de  
218 plaquetas (PDGF), TNF- $\alpha$  e IL-6 que parecem estar envolvidos na patogênese da ND  
219 (BONVENTRE, 2012). Estudos experimentais com diabetes relatam que o estresse oxidativo

220 aumenta com o acúmulo de AGEs (HA; SAUL; TAWFIK; ZORRILLA *et al.*, 2012) e que a  
221 inibição experimental de AGEs diminui a expressão de fatores de crescimento (VAN BUREN;  
222 TOTO, 2013).

223 Morfologicamente, as alterações da ND apresentam-se de forma semelhante tanto no  
224 paciente com DMT1 como no paciente com DMT2 (HAYASHI; KARASAWA; INN; SAITOU  
225 *et al.*, 1992) e todas as células do rim, tais como, endotélio glomerular, célula mesangial,  
226 podócitos e o epitélio tubular podem ser alvos da injúria hiperglicêmica (KANWAR; WADA;  
227 SUN; XIE *et al.*, 2008). Dentre as principais alterações morfológicas que ocorrem neste  
228 ambiente estão o acúmulo de MEC que vai evoluir para glomeruloesclerose difusa e nodular  
229 (nódulos de Kimmelstiel e Wilson), espessamento da membrana basal glomerular (MBG) e dos  
230 túbulos, hipertrofia glomerular, expansão de células mesangiais, apagamento dos pedicelos e  
231 fibrose túbulo-intersticial (DALLA VESTRA; SALLER; BORTOLOSO; MAUER *et al.*, 2000;  
232 MASON; WAHAB, 2003).

233 As alterações morfológicas glomerulares da ND, decorrentes tanto do DMT1 ou DMT2,  
234 são discriminadas em quatro tipos de classes de acordo com a gravidade das lesões. Classe I se  
235 refere ao espessamento da MBG visualizado pela microscopia eletrônica transmissão (MET),  
236 sem presença de alterações que se enquadram nos critérios das classes seguintes. Classe II está  
237 relacionada à expansão mesangial leve (IIa) ou acentuada (IIb) sem esclerose nodular (lesão de  
238 Kimmelstiel-Wilson). Classe III, definida pela esclerose nodular observada em pelo menos um  
239 dos glomérulos da amostra. E Classe IV, definida como glomeruloesclerose diabética avançada,  
240 na qual mais de 50% dos glomérulos apresentam esclerose global (Figura 1). A avaliação do  
241 grau de comprometimento intersticial e vascular é expressa em scores de acordo com a  
242 intensidade e extensão da lesão (TERVAERT; MOOYAART; AMANN; COHEN *et al.*, 2010).

243 Figura 1 – Características morfológicas das Classes da Nefropatia Diabética no compartimento  
 244 glomerular



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Fonte: PATGE, 2019.

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A) Alça capilar com espessamento da MBG visualizado pela MET caracterizando a Classe I da ND (12000X). B) Glomérulo com expansão mesangial (seta) caracterizando a Classe II da ND (PAMS – 820X). C) Glomérulo com esclerose nodular ou “nódulo de Kimmelstiel-Wilson” representado pela região arredondada com aumento de matriz corado em azul, com os núcleos dispostos na periferia do nódulo, permanecendo os capilares abertos ao redor da esclerose nodular (seta) caracterizando a Classe III da ND (TMA – 820X). D) Glomérulos com esclerose global (setas) caracterizando a Classe IV da ND (HE – 820X).

## 254 1.3 NEFROPATIA DIABÉTICA E INFLAMAÇÃO

255

256 Evidências indicam que mecanismos imunológicos e inflamatórios desempenham  
257 papéis significantes no desenvolvimento e progressão da ND, sendo então considerada uma  
258 doença inflamatória (MORA; NAVARRO, 2006; TUTTLE, 2005). Diversas células, como  
259 monócitos e macrófagos, e outras moléculas como quimiocinas, citocinas, fatores de  
260 crescimento, moléculas de adesão e fatores nucleares encontram-se implicados no processo  
261 relacionado a ND (WADA; MAKINO, 2013).

262 A fibrose é a característica mais importante e fundamental da ND e a inflamação parece  
263 ser o gatilho central no aparecimento e progressão da fibrose renal se não controlada (WADA;  
264 MAKINO, 2013). Em estudos clínicos, as concentrações circulantes de marcadores  
265 inflamatórios têm sido encontradas em pacientes com DMT1 e DMT2, e estes marcadores  
266 parecem prever o início e progressão das complicações diabéticas (HARJUTSALO; GROOP,  
267 2014; PICKUP; CHUSNEY; THOMAS; BURT, 2000). Foi demonstrado que as concentrações  
268 de citocinas pró-inflamatórias aumentam com a progressão da ND (BRUNO; MERLETTI;  
269 BIGGERI; BARGER *et al.*, 2003; FESTA; D'AGOSTINO; HOWARD; MYKKÄNEN *et al.*,  
270 2000) e que são independentemente relacionadas com a excreção urinária de albumina  
271 apresentando uma associação direta com marcadores clínicos de lesão glomerular e túbulo-  
272 intersticial (NAVARRO; MORA; MACA; GARCA, 2003). Estudos experimentais com  
273 modelos diabéticos demonstraram que a inibição do recrutamento de células inflamatórias para  
274 o rim representa um fator protetor na ND (AWAD; KINSEY; KHUTSISHVILI; GAO *et al.*,  
275 2011; CHOW; NIKOLIC-PATERSON; OZOLS; ATKINS *et al.*, 2005).

276 A participação de citocinas inflamatórias na patogênese da ND foi sugerida pela  
277 primeira vez em 1991 por Hasegawa e colaboradores (HASEGAWA; NAKANO; SAWADA;  
278 UNO *et al.*, 1991). Neste trabalho, os autores demonstraram que os macrófagos peritoneais  
279 cultivados com membranas basais glomerulares de animais diabéticos produziram quantidades  
280 significativamente maiores de citocinas inflamatórias, tais como TNF- $\alpha$  e IL-1, quando  
281 comparados com aqueles cultivados com membranas basais glomerulares de animais normais.

282 Concentrações plasmáticas de algumas citocinas inflamatórias estão elevadas em  
283 pacientes diabéticos sendo fortes preditores do desenvolvimento desta doença (PRADHAN;  
284 MANSON; RIFAI; BURING *et al.*, 2001; SCHMIDT; DUNCAN; SHARRETT; LINDBERG  
285 *et al.*, 1999; SPRANGER; KROKE; MÖHLIG; HOFFMANN *et al.*, 2003). O DMT2 por si só

286 está associado com a inflamação, mas a inflamação também contribui significativamente para  
287 o desenvolvimento de ND (DALLA VESTRA; MUSSAP; GALLINA; BRUSEGHIN *et al.*,  
288 2005).

289 Todas as células renais (endoteliais, epiteliais, mesangiais e tubulares) são também  
290 capazes de sintetizar citocinas pró-inflamatórias, tais como TNF- $\alpha$ , IL-1 e IL-6, e, por  
291 conseguinte, estas citocinas, atuando de forma parácrina ou autócrina e podem induzir uma  
292 variedade de efeitos em diferentes estruturas renais desenvolvendo um papel importante no  
293 desenvolvimento e progressão de várias doenças renais incluindo a ND (NORONHA; NIEMIR;  
294 STEIN; WALDHERR, 1995; OSTENDORF; BURG; FLOEGE, 1996). Hoje se sabe que entre  
295 as citocinas inflamatórias, a IL-1 $\beta$ , IL-6, IL-18 e TNF-  $\alpha$  são relevantes para o desenvolvimento  
296 da ND, desempenhando diversas ações potencialmente envolvidas no desenvolvimento de suas  
297 complicações (NAVARRO-GONZÁLEZ; MORA-FERNÁNDEZ, 2008).

298 A IL-1 $\beta$  é produzida por uma variedade de tecidos e tipos de células, incluindo  
299 macrófagos, neurônios, células  $\beta$  do pâncreas e tecido adiposo (BLUTHÉ; DANTZER;  
300 KELLEY, 1997). Conhecida por induzir a secreção de outras citocinas, as funções da IL-1 $\beta$  são  
301 contra reguladas em parte pela inibição competitiva da IL-1RA e IL-1R2 (BLUTHÉ;  
302 DANTZER; KELLEY, 1992). Em modelos experimentais de ND foi observado um aumento  
303 da expressão renal de IL-1 $\beta$ , que está relacionada com a expressão de fatores quimiotáticos e  
304 moléculas de adesão (NAVARRO; MILENA; MORA; LEÓN *et al.*, 2006; SASSY-PRIGENT;  
305 HEUDES; MANDET; BÉLAIR *et al.*, 2000). A IL-1 $\beta$  aumenta a síntese de molécula de adesão  
306 intercelular 1 (ICAM-1) e molécula de adesão celular vascular-1 (VCAM-1) por células  
307 endoteliais glomerulares e induz a síntese *de novo* e expressão de ICAM-1 pelas células  
308 mesangiais glomerulares e epitélios tubulares renais. Além disso, esta citocina induz expressão  
309 transitória de E-selectina por células endoteliais (BRADY, 1994; PARK; KIM; LEE; KIM *et*  
310 *al.*, 2000) como também, encontra-se envolvida com o desenvolvimento de alterações  
311 hemodinâmicas intraglomerulares relacionadas à síntese de prostaglandina pelas células  
312 mesangiais (PFEILSCHIFTER; PIGNAT; VOSBECK; MÄRKI, 1989). Encontra-se  
313 diretamente relacionada com o aumento da permeabilidade das células endoteliais vasculares  
314 (ROYALL; BERKOW; BECKMAN; CUNNINGHAM *et al.*, 1989) e envolvida no processo  
315 de proliferação das células mesangiais e síntese de matriz (MELCION; LACHMAN; KILLEN;  
316 MOREL-MAROGER *et al.*, 1982; NAVARRO; MORA, 2005).

317 A IL-6 é produzida principalmente por células do sistema imunológico, músculo  
318 esquelético e fígado, porém outros tipos de células também podem produzir IL-6 como, as  
319 células da glia e células endoteliais (KISHIMOTO, 2005). É uma citocina pró-inflamatória e  
320 um importante mediador da proliferação de células mesangiais, do aumento da permeabilidade  
321 das células endoteliais e aumento da produção de matriz extracelular (FORBES; COOPER,  
322 2013). Níveis de IL-6 são mais elevados em pacientes com ND em comparação com pacientes  
323 com diabetes, porém sem nefropatia (MAHADEVAN; LARKINS; FRASER; FOSANG *et al.*,  
324 1995). Além disso, a hibridização *in situ* realizada em biópsias renais humanas demonstrou um  
325 aumento da expressão de RNAm que codifica a IL-6 em células infiltradas no mesângio,  
326 interstício e túbulos que também apresentaram uma relação positiva com a gravidade da  
327 expansão mesangial (SUZUKI; MIYAZAKI; NAKA; KOJI *et al.*, 1995). A IL-6 também tem  
328 sido relacionada com o aumento da expressão de fibronectina (COLEMAN; RUEF, 1992) e  
329 aumento da espessura da MBG (DALLA VESTRA; MUSSAP; GALLINA; BRUSEGHIN *et*  
330 *al.*, 2005). Pacientes com DMT2 apresentaram aumento na produção de IL-6 associado não  
331 somente com a ND, mas também com o espessamento da MBG, lesão crucial e mais precoce  
332 da ND sendo considerado um forte marcador de declínio da função renal (DALLA VESTRA;  
333 MUSSAP; GALLINA; BRUSEGHIN *et al.*, 2005).

334 O TNF- $\alpha$  é produzido principalmente pelos monócitos, macrófagos e células T, mas  
335 também intrinsecamente pelas células renais. Apresenta efeitos citotóxicos para as células  
336 glomerulares, mesangiais e epiteliais e pode induzir dano renal de forma direta (DONG;  
337 SWAMINATHAN; BACHMAN; CROATT *et al.*, 2007). No glomérulo, o TNF- $\alpha$  estimula a  
338 produção de prostaglandinas pelas células mesangiais e pode ser responsável pela alteração da  
339 microcirculação glomerular. Essa citocina também induz atividade pro coagulante do endotélio  
340 e aumenta sua permeabilidade (FERNÁNDEZ-REAL; VENDRELL; GARCÍA; RICART *et*  
341 *al.*, 2012). Muitos estudos de pacientes com ND têm encontrado elevados níveis séricos e  
342 urinários de TNF- $\alpha$  quando comparados com pacientes não diabéticos. E estas concentrações  
343 aumentam com a progressão da ND. Estes achados mostram que existe uma forte relação entre  
344 os elevados níveis desta citocina inflamatória e o desenvolvimento e progressão da lesão renal  
345 no DMT2 (NAVARRO; MORA; MACA; GARCA, 2003; NAVARRO; MORA; MUROS;  
346 GARCÍA, 2006). Outros estudos têm demonstrado que o TNF- $\alpha$  e seus receptores (TNFR1 e  
347 TNFR2) estão associados com a redução da função renal nos pacientes DMT2, como também  
348 têm associado os elevados níveis séricos de TNFR1 com a ND (NAVARRO-GONZÁLEZ;

349 MORA-FERNÁNDEZ; MUROS DE FUENTES; GARCÍA-PÉREZ, 2011; NIEWCZAS;  
350 GOHDA; SKUPIEN; SMILES *et al.*, 2012). Além disso, já foi demonstrado que ambos  
351 receptores, TNFR1 e TNFR2, desempenham papel importante no desenvolvimento da fibrose  
352 tubulointersticial (GUO; MORRISSEY; MCCRACKEN; TOLLEY *et al.*, 1999).

353 A IL-8 (CXCL8) tem sido sugerida como um marcador para avaliar o grau de lesão renal  
354 no estágio inicial da ND (NIEMIR; STEIN; CIECHANOWICZ; OLEJNICZAK *et al.*, 2004).  
355 No rim, as principais fontes de IL8 são representadas pelos podócitos e células endoteliais dos  
356 vasos intersticiais, e uma pequena quantidade é expressa pelas células epiteliais tubulares. O  
357 aumento de IL-8 nas células endoteliais, próximas ao sítio inflamatório, facilita a travessia dos  
358 leucócitos ativados pelo endotélio por meio da alteração da expressão de moléculas de adesão  
359 (NIEMIR; STEIN; CIECHANOWICZ; OLEJNICZAK *et al.*, 2004). A elevada produção de  
360 citocinas no rim e o aumento da permeabilidade da barreira de filtração glomerular, podem  
361 contribuir para o aumento dos níveis urinários de citocinas em pacientes microalbuminúricos  
362 (LIU; ZHAO; WILLCOX; XU *et al.*, 2010). Elevadas concentrações urinárias de IL-8 já foram  
363 detectadas nas fases iniciais da ND (TASHIRO; KOYANAGI; SAITOH; SHIMIZU *et al.*,  
364 2002). Além disso, já foi demonstrado que a IL-8 apresentou associação negativa com a taxa  
365 de filtração glomerular estimada e positiva com o índice de massa corporal (VIANNA;  
366 SOARES; SILVEIRA; ELMIRO *et al.*, 2013).

367 Outras quimiocinas, além da IL-8, também se encontram envolvidas na patogênese da  
368 ND. Estudos de pacientes com DMT2 e ND revelaram aumentos dos níveis séricos de MIP-1 $\alpha$   
369 e MIP-1 $\beta$  até mesmo nos estágios precoces da ND (KONENKOV; KLIMONTOV;  
370 MYAKINA; TYAN *et al.*, 2015; PERLMAN; CHEVALIER; WILKINSON; LIU *et al.*, 2015).  
371 Como também foi observado que pacientes DMT2 microalbuminúricos apresentaram elevados  
372 níveis urinários de eotaxina quando comparados com pacientes normoalbuminúricos e controle  
373 (LIU; ZHAO; WILLCOX; XU *et al.*, 2010).

374 Entre as citocinas de perfil anti-inflamatório, a IL-10 é uma citocina sintetizada em  
375 várias células imunes, principalmente células CD8+ ativadas. Sua produção é prejudicada por  
376 muitas citocinas, como IL-4, IL-13 e IFN- $\gamma$ , e também pela sua autorregulação. Tem ação de  
377 inibir citocinas pró-inflamatórias, principalmente TNF- $\alpha$ , IL-1 e IL-6 produzidas por  
378 macrófagos e monócitos ativados, e estimula a produção de citocinas anti-inflamatórias  
379 (ZHANG; AN, 2007). Alguns estudos relataram que pacientes DMT2 com ND apresentaram  
380 níveis séricos elevados de IL-10 e correlação positiva entre IL-10 e albuminúria

381 (MYŚLIWSKA; ZORENA; SEMETKOWSKA-JURKIEWICZ; RACHOŃ *et al.*, 2005;  
382 WONG; HO; TONG; YEUNG *et al.*, 2007). No entanto, já foi descrito que a baixa capacidade  
383 de produção de IL-10 está associada à síndrome metabólica e ao DMT2 (VAN EXEL;  
384 GUSSEKLOO; DE CRAEN; FRÖLICH *et al.*, 2002). Outra citocina de perfil Th2 que também  
385 exerce efeitos anti-inflamatórios é a IL-4. Apesar de ser considerada uma importante citocina  
386 nas doenças renais, por estimular a síntese de MEC pelas células mesangiais e epiteliais  
387 glomerulares (FURUSU; MIYAZAKI; KOJI; ABE *et al.*, 1997), pacientes com ND  
388 apresentaram baixos níveis séricos de IL-4 (PERLMAN; CHEVALIER; WILKINSON; LIU *et*  
389 *al.*, 2015). Também já foi demonstrado que não houve alteração significativa nos níveis séricos  
390 de IL-4 nos pacientes com ND quando comparado aos pacientes sem ND (WU; CHEN; LU;  
391 CHEN *et al.*, 2010).

392

#### 393 1.4 DOENÇA RENAL CRÔNICA E DIABETES MELLITUS TIPO 2

394

395 A doença renal crônica (DRC) é um grave problema de saúde pública, cuja prevalência  
396 tem aumentado de forma epidêmica em todo o mundo nos últimos anos. Apresenta caráter  
397 progressivo e está associada à elevada morbidade e mortalidade. Estima-se que  
398 aproximadamente 35% dos pacientes com DMT2 desenvolvem DRC (KIM; PARK; CHO;  
399 KIM, 2018). Vários fatores estão associados à instalação e progressão da DRC, incluindo,  
400 obesidade, hipertensão arterial sistêmica e diabetes mellitus. Além desses, evidências apontam  
401 a inflamação na fisiopatologia da DRC e a ativação do sistema imune em estágios precoces e  
402 tardios da DRC. Acredita-se que a modulação da resposta imunoinflamatória pode se tornar  
403 alvo para tratamento da DRC (LI; TANG; YI, 2019; VIANNA; SOARES; TAVARES;  
404 TEIXEIRA *et al.*, 2011).

405 A DRC é definida como a diminuição do ritmo de filtração glomerular abaixo de 60  
406 mL/min /1,73 m<sup>2</sup> ou presença de anormalidades na estrutura ou na função renal, considerada  
407 uma complicação frequente nos pacientes diabéticos. Os critérios diagnósticos para DRC  
408 consistem na presença de um ou mais marcadores de lesão do parênquima renal, como a  
409 albuminúria e/ou a taxa de filtração glomerular (TFG) menor que 60 mL/min /1,73 m<sup>2</sup>  
410 persistentes por mais de três meses (INKER; ASTOR; FOX; ISAKOVA *et al.*, 2014).

411 O desfecho da DRC é caracterizado pela progressiva fibrose glomerular e/ou túbulo-  
412 intersticial, lesão dos capilares peritubulares por hipóxia e perda de funcionamento dos néfrons



413 por esclerose glomerular e atrofia tubular (EDDY, 2005). Nesse contexto fisiopatológico de  
414 progressão da lesão renal tem sido cada vez mais relacionada a participação de mecanismos  
415 inflamatórios (MEZA LETELIER; SAN MARTÍN OJEDA; RUIZ PROVOSTE; FRUGONE  
416 ZAROR, 2017; RUIZ-ORTEGA; LORENZO; SUZUKI; RUPÉREZ *et al.*, 2001).

417 O rastreamento da DRC deve ser iniciado logo após o diagnóstico de diabetes nos  
418 pacientes DMT2. A identificação precoce do risco de perda progressiva da função renal nestes  
419 pacientes pode protelar as complicações decorrentes do diabetes. Mediante impossibilidade de  
420 realizar-se a medida da TFG ou da excreção urinária de albumina (EUA), na prática clínica  
421 tem-se utilizado a TFG<sub>e</sub>, calculada a partir da fórmula CKD-EPI (Chronic Kidney Disease  
422 Epidemiology Collaboration) (LEVEY; STEVENS; SCHMID; ZHANG *et al.*, 2009), como  
423 parâmetro recomendado para avaliar a função renal dos pacientes DMT2. A constatação de uma  
424 TFG<sub>e</sub> < 60 mL/min/1.73m<sup>2</sup>, por si só, pode caracterizar a diminuição da função renal (LEVEY;  
425 DE JONG; CORESH; EL NAHAS *et al.*, 2011; PENA; HEINZEL; HEINZE; ALKHALAF *et*  
426 *al.*, 2015), podendo esse evento anteceder ao aparecimento de microalbuminúria  
427 (KROLEWSKI; NIEWCZAS; SKUPIEN; GOHDA *et al.*, 2014). A DRC é classificada em  
428 cinco estágios de acordo com a TFG: Estágio 1 (TFG normal ou elevada: ≥ 90 mL/min/1.73m<sup>2</sup>),  
429 Estágio 2 (TFG levemente reduzida: 60-89 mL/min/1.73m<sup>2</sup>), Estágio 3a (moderada redução da  
430 TFG: 45-59 mL/min/1.73m<sup>2</sup>), Estágio 3b (redução marcada da TFG: 30-44 mL/min/1.73m<sup>2</sup>),  
431 Estágio 4 (redução grave da TFG: 15-29 mL/min/1.73m<sup>2</sup>) e Estágio 5 (insuficiência renal: TFG  
432 < 15 mL/min/1.73m<sup>2</sup>) (LEVEY; DE JONG; CORESH; EL NAHAS *et al.*, 2011).

433 Mediadores inflamatórios têm sido envolvidos na instalação e progressão da DRC no  
434 DMT2 (LIU; DENG; SUN; YE *et al.*, 2016; LIU; ZHAO; WILLCOX; XU *et al.*, 2010;  
435 VERHAVE; BOUCHARD; GOUPIL; PICHETTE *et al.*, 2013). Recentemente, foi  
436 demonstrado que marcadores plasmáticos e urinários indicam que o declínio renal progressivo  
437 precoce, no contexto do DMT2, tem múltiplos determinantes (NOWAK; SKUPIEN; SMILES;  
438 YAMANOUCHI *et al.*, 2018). Dessa forma é incontestável o papel da inflamação na DRC,  
439 sendo fundamental o entendimento dos efeitos dos mediadores inflamatórios na instalação e  
440 progressão da lesão renal, tendo em vista a possibilidade de definir novos marcadores  
441 prognósticos e, talvez até, alvos terapêuticos alternativos e mais eficientes.

442 Atualmente, não existem medidas específicas para prevenir o desenvolvimento da ND.  
443 As principais estratégias terapêuticas são baseadas no rigoroso controle dos riscos modificáveis  
444 como o controle da hipertensão, dos níveis séricos de glicose e da dislipidemia, mas que nem

445 sempre impedem a progressão da ND. Portanto, um melhor conhecimento do papel de  
446 mediadores inflamatórios na patogênese da ND poderia facilitar a identificação dos pacientes  
447 de alto risco de progressão da doença, assim como, do desenvolvimento e progressão da  
448 disfunção renal (WILLIAMS; TUTTLE, 2005).

449 Assim, este estudo tem o objetivo de avaliar os seguintes mediadores inflamatórios  
450 séricos e *in situ*: interleucina-1 $\beta$  (IL-1 $\beta$ ), IL-4, IL-6, IL-10, fator de necrose tumoral- $\alpha$  (TNF-  
451  $\alpha$ ), os receptores do fator de necrose tumoral-1 (TNFR1) e 2 (TNFR2), interferon- $\gamma$  (IFN- $\gamma$ ), as  
452 quimiocinas: interleucina-8 (IL-8/CXCL8), proteína inflamatória de macrófagos-1 $\alpha$  (MIP-  
453 1 $\alpha$ /CCL3), proteína inflamatória de macrófagos-1 $\beta$  (MIP-1 $\beta$ /CCL4), eotaxina (CCL11) e as  
454 adipocinas: adiponectina, leptina e resistina; com intuito de contribuir com a identificação de  
455 possíveis fatores que possam estar implicados no processo inflamatório crônico desenvolvido  
456 no DMT2, e nas complicações que afetam a função renal desses pacientes.

457 Frente a uma situação desafiadora devido à ausência ou silenciamento de sintomas, a  
458 utilização de métodos alternativos para a identificação precoce do declínio da função renal é  
459 fundamental para implementar estratégias preventivas e proporcionar um melhor manejo e  
460 acompanhamento aos pacientes diabéticos.

*Justificativa*

**462 2 JUSTIFICATIVA**

463

464 O Diabetes Mellitus tipo 2 (DMT2) é um dos subtipos mais prevalentes do Diabetes  
465 Mellitus, considerada mundialmente a principal causa de doença renal crônica. Uma de suas  
466 complicações microvasculares mais frequentes é a nefropatia diabética (ND), chegando a  
467 acometer cerca de 20 a 30% dos pacientes com DMT2 (CHO; SHAW; KARURANGA;  
468 HUANG *et al.*, 2018; GREGG; LI; WANG; BURROWS *et al.*, 2014).

469 Cada vez mais evidências apontam que a inflamação de baixo grau, caracterizada pela  
470 produção de citocinas, quimiocinas e adipocinas, está envolvida nos processos patogênicos  
471 causadores do DMT2 e suas complicações (ARAÚJO; SILVA; SILVA; MONTEIRO *et al.*,  
472 2016; CEBECI; CAKAN; GURSU; UZUN *et al.*, 2019; GUPTA; MARATHA;  
473 SIEDNIENKO; NATARAJAN *et al.*, 2017; NAVARRO; MORA, 2006). O desequilíbrio entre  
474 esses mediadores inflamatórios pode ser considerado o gatilho causador ou exacerbador das  
475 complicações do DMT2, estabelecendo assim uma relação de envolvimento simultâneo entre  
476 diabetes e inflamação (GUEST; PARK; JOHNSON; FREUND, 2008).

477 Mesmo diante dos tratamentos disponíveis baseados no rigoroso controle dos riscos  
478 modificáveis, como o controle da hipertensão, dos níveis séricos de glicose e da dislipidemia,  
479 nem sempre são capazes de impedir a progressão da ND ou protegerem contra a diminuição da  
480 função renal. Portanto, um melhor conhecimento do papel das citocinas, quimiocinas e  
481 adipocinas inflamatórias na patogênese da ND se faz necessário para auxiliar pesquisas futuras  
482 voltadas para intervenções terapêuticas mais avançadas e eficazes.

483 A quantificação de mediadores inflamatórios *in situ* e séricos pode ser uma ferramenta  
484 útil para avaliação da influência do processo inflamatório na promoção da diminuição da função  
485 renal nos pacientes com DMT2 e na progressão da ND. Dessa maneira, seria possível identificar  
486 possíveis fatores que exercem contribuição efetiva no desenvolvimento e manutenção do  
487 processo inflamatório crônico de baixo grau e suas complicações repercutidas na função renal  
488 dos pacientes com DMT2 e naqueles com ND.

489 Dessa maneira, estudos voltados para um melhor conhecimento dos mecanismos  
490 imunológicos envolvidos na patogênese do DMT2 e suas complicações podem contribuir para  
491 que, no futuro, sejam estabelecidas novas e melhores estratégias de rastreamento que contribuem  
492 para detecção precoce de indivíduos com DMT2 em risco de comprometimento mais rápido da  
493 função renal, com vista a proporcionar melhor acompanhamento a esses pacientes.

*Objetivos*

**494 3 OBJETIVOS**

495

**496 3.1 OBJETIVO GERAL**

497

498 Avaliar os níveis séricos de mediadores inflamatórios em pacientes com DMT2 com ou  
499 sem alteração renal, como também a expressão de citocinas e quimiocinas inflamatórias (IL-  
500 1 $\beta$ , IL-4, IL-6, IL-8, IL-10, TNF- $\alpha$ , TNFR1 e Eotaxina) em biópsias renais de rim nativo de  
501 pacientes diabéticos com Nefropatia Diabética, bem como descrever a relação desses  
502 mediadores com a diminuição da função renal.

503

**504 3.2 OBJETIVOS ESPECÍFICOS**

505

- 506 1. Descrever a caracterização clínico-epidemiológica dos pacientes DMT2 com ou sem  
507 alteração renal atendidos no Ambulatório de Endocrinologia da Universidade Federal do  
508 Triângulo Mineiro, e dos pacientes com diagnóstico de ND que realizaram biópsia renal no  
509 Serviço de Nefropatologia da Universidade Federal do Triângulo Mineiro entre os anos de  
510 1996 a 2018;
- 511 2. Quantificar as citocinas e quimiocinas (IL-4, IFN- $\gamma$ , TNF- $\alpha$ , IL-8, Eotaxina, MIP-1 $\alpha$  e MIP-  
512 1 $\beta$ ) no soro de pacientes DMT2 com ou sem alteração renal e pacientes controle;
- 513 3. Quantificar as adipocinas (Adiponectina, Resistina e Leptina) e as citocinas (TNFR1 e  
514 TNFR2) no soro de pacientes DMT2 com ou sem alteração renal e pacientes controle;
- 515 4. Quantificar a expressão de citocinas e quimiocinas inflamatórias (IL-1 $\beta$ , IL-4, IL-6, IL-8,  
516 IL-10, TNF- $\alpha$ , TNFR1, MIP-1 $\alpha$  e Eotaxina) nas biópsias renais de pacientes com ND e  
517 controle;
- 518 5. Relacionar as expressões *in situ* e os níveis séricos das citocinas, quimiocinas e adipocinas  
519 com os dados clínico-laboratoriais e morfológicos;
- 520 6. Relacionar as expressões *in situ* e os níveis séricos das citocinas, quimiocinas e adipocinas  
521 com a função renal, determinada pela taxa de filtração glomerular estimada (TFGe);
- 522 7. Correlacionar as alterações morfológicas com as expressões *in situ* dos mediadores  
523 inflamatórios nas biópsias renais de pacientes com ND.

*Resultados*

523 **4 RESULTADOS**

524

525 **ARTIGO 1**

526

527 **TÍTULO: Influence of inflammatory mediators on type 2 diabetes mellitus and decreased**  
528 **renal function**

529

530 **SITUAÇÃO:**

- 531 • PLOS ONE Decision: Revision required [PONE-D-19-24297] -  
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*Artigo 1*

534 Influence of inflammatory mediators on type 2 diabetes mellitus and decreased renal  
535 function

536

537 Inflammation in type 2 diabetes mellitus and renal dysfunction

538

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559

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561

562

**Abstract**

563  
564 Aim: To evaluate the serum concentrations of inflammatory mediators in patients with type 2  
565 diabetes mellitus (T2DM) with or without renal alteration (RA) function. Methods: Serum  
566 samples from 76 patients with T2DM and 24 healthy individuals were selected. Patients with  
567 T2DM were divided into two groups according to eGFR ( $>$  or  $<$  60mL/min/1.73m<sup>2</sup>). Cytokines,  
568 chemokines and adipokines levels were evaluated using the Multiplex immunoassay and  
569 ELISA. Results: TNFR1 and leptin were higher in the T2DM group with RA than in the T2DM  
570 group without RA and control group. All patients with T2DM showed increased resistin, IL-8,  
571 and MIP-1 $\alpha$  compared to the control group. Adiponectin were higher and IL-4 decreased in the  
572 T2DM group with RA compared to the control group. eGFR positively correlated with IL-4  
573 and negatively with TNFR1, TNFR2, and leptin in patients with T2DM. In the T2DM group  
574 with RA, eGFR was negatively correlated with TNFR1 and resistin. TNFR1 was positively  
575 correlated with resistin and leptin, as well as resistin with IL-8 and leptin. Conclusion: Increased  
576 levels of TNFR1, adipokines, chemokines and decrease of IL-4 play important role in the  
577 inflammatory process developed in T2DM and decreased renal function. We also suggest that  
578 TNFR1 is a strong predictor of renal dysfunction in patients with T2DM.

579

580 Key words: type 2 diabetes mellitus, inflammatory mediators, estimated glomerular filtration  
581 rate, renal alteration

## 582 **Introduction**

583           Type 2 diabetes mellitus (T2DM) is one of the most prevalent subtypes of diabetes  
584 mellitus (DM). It is a metabolic disorder resulting from the relative deficiency of insulin  
585 production and/or its action, which leads to increased serum glucose levels, which is considered  
586 the main cause of chronic kidney disease (CKD) [1, 2]. Hyperglycemia in T2DM is strongly  
587 associated with the development of macrovascular and microvascular complications, which  
588 may result in decreased renal function [3]. Studies suggest that low-grade inflammation,  
589 characterized by the production of cytokines, chemokines, and adipokines, is involved in the  
590 pathogenic processes that cause T2DM and its complications [4-7].

591           The imbalance between mediators triggers or enhances T2DM complications.  
592 Activation of the innate immune system alone induces hyperglycemia and insulin resistance.  
593 Thus, diabetes and inflammation are simultaneously involved, feeding a positive feedback loop  
594 [8].

595           Early identification of the risk of progressive loss of renal function in patients with  
596 T2DM might delay diabetes complications in these patients. Due to the lack of feasibility in  
597 measuring glomerular filtration rate, in clinical practice, the estimated glomerular filtration rate  
598 (eGFR) and albuminuria have been used as parameters to evaluate the renal function of patients  
599 with T2DM. An eGFR <60 mL/min/1.73 m<sup>2</sup> might characterize decreased renal function [9,  
600 10]. Plasma and urinary markers have recently shown that that early progressive renal decline,  
601 in the context of T2DM, has multiple causes [11].

602           Given the need to identify possible factors that contribute to low-grade inflammation  
603 and its complications, as reflected in the renal function of patients with T2DM, this study aimed  
604 to evaluate the serum concentrations of inflammatory mediators in patients with T2DM with or

605 without renal alteration (RA), determined by the eGFR, and verify the relationship of these  
606 mediators to decreased renal function.

607

## 608 **Patients and methods**

### 609 **Patients**

610 Type 2 DM patients were recruited in the Endocrinology Outpatient Clinic of the  
611 Federal University of Triangulo Mineiro (UFTM), Uberaba, Minas Gerais, Brazil, between  
612 December of 2016 and May of 2017. Healthy volunteers were recruited from the facilities of  
613 the Federal University of Triangulo Mineiro (UFTM), Uberaba, Minas Gerais, Brazil. Patients  
614 included in the study had T2DM diagnosis, age over 18 years-old and were in medical follow  
615 up in Endocrinology Outpatient Clinic of the UFTM. Healthy people aged above 18 years old  
616 and with normal renal function were also included for comparison. Pre-diabetic patients, T2DM  
617 patients aged under 18 years old and healthy people without sufficient data for eGFR calculation  
618 (age, race, serum creatinine and gender) were excluded from the study.

619 A total of 100 adult patients were recruited for this study, 76 of whom had T2DM (28  
620 men and 48 women) and 24 were healthy volunteers (10 men and 14 women). The patients with  
621 T2DM were divided into two groups according to the eGFR ( $\text{mL}/\text{min}/1.73 \text{ m}^2$ ) using the  
622 equation proposed by the Chronic Kidney Disease Epidemiology Collaboration study (CKD-  
623 EPI) [12]. These were the T2DM group without RA ( $n=56$ , patients with T2DM with  $\text{eGFR}>60$   
624  $\text{mL}/\text{min}/1.73 \text{ m}^2$ ), with median age of 59.5 (18–84) years, and the T2DM group with RA ( $n=20$ ,  
625 patients with T2DM with  $\text{eGFR} <60 \text{ mL}/\text{min}/1.73 \text{ m}^2$ ), with median age of 75 (37–94) years.  
626 The control group consisted of 24 healthy patients without DM and with  $\text{eGFR} >60$   
627  $\text{mL}/\text{min}/1.73 \text{ m}^2$ , with median age of 34 (22–58) years.

628 Clinical and laboratory data of the patients in the study were obtained from the  
629 information in the follow-up medical records of the patients with T2DM and the results of  
630 routine blood tests previously acquired from the volunteers.

631 The study was conducted in the laboratories of General Pathology Department and  
632 Immunology Department of the Federal University of Triângulo Mineiro (UFTM), Uberaba,  
633 Minas Gerais, Brazil. This study was approved by the Research Ethics Committee of the Federal  
634 University of Triângulo Mineiro (number 3,001,006).

635

## 636 **Methods**

637 T2DM patients were individually addressed during the routine clinical consultation, in  
638 doctor's office. Healthy people were referred to a reserved room in the General Pathology  
639 Department of UFTM. They were instructed about the research and those who agreed to  
640 participate, signed the consent form and had the biological sample collected. Then, they were  
641 referred to a reserved and appropriate blood collection room, where general data of the  
642 participants were also recorded.

643 The sample was collected in a sterile tube, containing a separating gel, and centrifuged,  
644 after 30 min of rest, at 3,000 rpm, at 4°C, for 15 min to obtain the serum. The serum sample  
645 was stored at -80°C until analysis.

646 The serum cytokines were quantified using the Multiplex immunoassay - MAGPIX™  
647 System (Lot #5028196) following the manufacturer's instructions, in which the following  
648 mediators were detected: interleukin-4 (IL-4), interferon- $\gamma$  (IFN- $\gamma$ ), tumor necrosis factor- $\alpha$   
649 (TNF- $\alpha$ ), IL-8 (CXCL8), eotaxin, macrophage inflammatory protein-1 $\alpha$  (MIP-1 $\alpha$ ), and MIP-  
650 1 $\beta$ .

651 The adipokines (adiponectin, resistin, and leptin), tumor necrosis factor receptor-1  
652 (TNFR1), and TNFR2 were measured by the quantitative sandwich enzyme-linked  
653 immunosorbent assay (ELISA) method using R&D Systems® antibody pairs, following the  
654 manufacturer's instructions: Human Adiponectin (Catalog DY1065), Human Resistin (Catalog  
655 DY1359), Human Leptin (Catalog DY398), Human TNF RI (Catalog DY225), and Human  
656 TNF RII (Catalog DY726).

657

## 658 **Statistical analysis**

659 In the statistical analysis, an electronic spreadsheet (Microsoft Excel) was elaborated,  
660 and the data were analyzed using the GraphPad Prism software, version 7.0 (GraphPad  
661 Software, USA). The variables were tested for normality using the Kolmogorov-Smirnov test.  
662 For a non-normal distribution, we used the Mann-Whitney test (U) in the comparison between  
663 the two groups and the Kruskal-Wallis test (H), followed by the Dunn's post hoc test, among  
664 three or more groups. The proportions were compared using the chi-square test ( $\chi^2$ ) or Fisher's  
665 exact test. We used the Pearson's test (r) to correlate parametric variables and the Spearman's  
666 test (rS) for nonparametric variables. Differences were considered statistically significant when  
667  $p < 0.05$ .

668

## 669 **Results**

### 670 **Clinical and laboratory characteristics of the participants**

671 A total of 100 patients were selected for the study and classified into three groups: the  
672 control group with 24 patients (24%), T2DM group without RA with 56 (56%) patients, and  
673 T2DM group with RA with 20 (20%) patients. According to the general characteristics of the  
674 groups, there was a predominance of women in the three groups, with 14 (58.3%) in the control

675 group, 36 (64.3%) in the T2DM group without RA, and 12 (60%) in the T2DM group with RA.  
 676 The patients were mainly Caucasian, with 20 (83.3%) in the control group, 45 (80.4%) in the  
 677 T2DM group without RA, and 16 (80%) in the T2DM group with RA. Most patients with  
 678 T2DM had hypertension, with 36 (64.3%) in the T2DM group without RA and 18 (90%) in the  
 679 T2DM group with RA, whereas there was no patient with hypertension in the control group.  
 680 The body mass index (BMI) was higher in the T2DM groups than in the control group. Patients  
 681 with T2DM with RA had longer DM duration compared to patients with T2DM without RA.  
 682 Moreover, most patients in both groups reported the use of insulin to control diabetes, with 38  
 683 (67.8%) in the T2DM group without RA and 17 (85%) in the T2DM group with RA.

684 Regarding the laboratory data, as expected, fasting serum glucose and glycated  
 685 hemoglobin levels were higher in patients with T2DM. Serum urea and creatinine levels were  
 686 higher in the T2DM group with RA than in other groups. Serum total cholesterol, high-density  
 687 lipoprotein cholesterol, and triglyceride levels were similar among groups. However, serum  
 688 low-density lipoprotein cholesterol levels were higher in the control group than in other groups.  
 689 Regarding the habit of drinking alcohol, most 14 (58.3%) patients in the control group reported  
 690 social use, while 30 (53.6%) patients in T2DM group without RA and 12 (60%) patients in  
 691 T2DM group with RA reported not to consume alcohol. Most patients in all groups were non-  
 692 smokers. Regarding physical activities, half of the patients both in control and T2DM with RA  
 693 groups did not practice physical activities as well as 35 (62.5%) patients of T2DM group  
 694 without RA. The clinical and laboratory characteristics of the patients are detailed in Table 1.

695 **Table 1. Clinical and laboratory data of diabetic and control groups.**

	<b>Control (n=24)</b>	<b>T2DM without RA (n=56)</b>	<b>T2DM with RA (n=20)</b>
<b>Age (years)</b>			
Median (Min-Max)	34 (22-58)	59.5 (18-84)	74 (37-94)
<b>Gender n (%)</b>			
Male	10 (41.7%)	20 (35.7%)	08 (40%)
Female	14 (58.3%)	36 (64.3%)	12 (60%)



<b>Color n (%)</b>			
White	20 (83.3%)	45 (80.4%)	16 (80%)
Not white	04 (16.7%)	11 (19.7%)	04 (20%)
<b>SAH n (%)</b>			
Yes		36 (64.3%)	18 (90%)
No	24 (100%)	20 (35.7%)	02 (10%)
<b>BMI (kg/m<sup>2</sup>)</b>			
Median (Min-Max)	24.34 (19.6-30.4)	27.19 (18.17-42.1)	26.5 (18.4-36.8)
<b>Course DM (years)</b>			
Median (Min-Max)		11.5 (0.4-30)	17.5 (0.5-40)
<b>Insulin n (%)</b>			
Yes		38 (67.8%)	17 (85%)
No		18 (32.2%)	03 (15%)
<b>eGFR (mL/min/1.73m<sup>2</sup>)</b>			
Mean ± SD	93.81 ± 18.14	89.55 ± 21.17	40.72 ± 16.95
<b>Fasting glucose (mg/dL)</b>			
Median (Min-Max)	86.9 (69-101)	169.9 (94.9-596.9)	143.3 (53.7-366.7)
<b>HgA1c (%)</b>			
Median (Min-Max)	4.8 (4.5-5.7)	8.6 (5.9-15.1)	7.6 (5.3-10.9)
<b>Urea (mg/dL)</b>			
Median (Min-Max)	32 (23.3-40)	29 (18.4-61.7)	56.5 (34.1-191.9)
<b>Creatinine (mg/dL)</b>			
Median (Min-Max)	0.87 (0.6-1.4)	0.82 (0.41-1.3)	1.27 (0.95-10.61)
<b>TC (mg/dL)</b>			
Median (Min-Max)	183.1 (148-282)	162.9 (93.3-275.3)	168(104.3-265.3)
<b>HDL (mg/dL)</b>			
Median (Min-Max)	53 (27-76)	52 (26-97)	50 (32-101)
<b>LDL (mg/dL)</b>			
Median (Min-Max)	98 (74-138)	67.6 (24-192.3)	78.8 (48.7-186.2)
<b>TG (mg/dL)</b>			
Median (Min-Max)	97 (46-235)	125.5 (28-558)	151 (32-323)
<b>Alcohol drinking habit n (%)</b>			
Yes (socially)	14 (58.3%)	9 (16.1%)	1 (5%)
No	9 (37.5%)	30 (53.6%)	12 (60%)
Past		5 (8.9%)	
NI	1 (4.2%)	12 (21.4%)	7 (35%)
<b>Smoking n (%)</b>			
Yes	1 (4.2%)	6 (10.7%)	
No	22 (91.6%)	32 (57.1%)	12 (60%)
Past		9 (16.1%)	2 (10%)
NI	1 (4.2%)	9 (16.1%)	6 (30%)
<b>Practicing exercise n (%)</b>			
Yes	11 (45.8%)	21 (37.5%)	10 (50%)
No	12 (50%)	35 (62.5%)	10 (50%)
NI	1 (4.2%)		

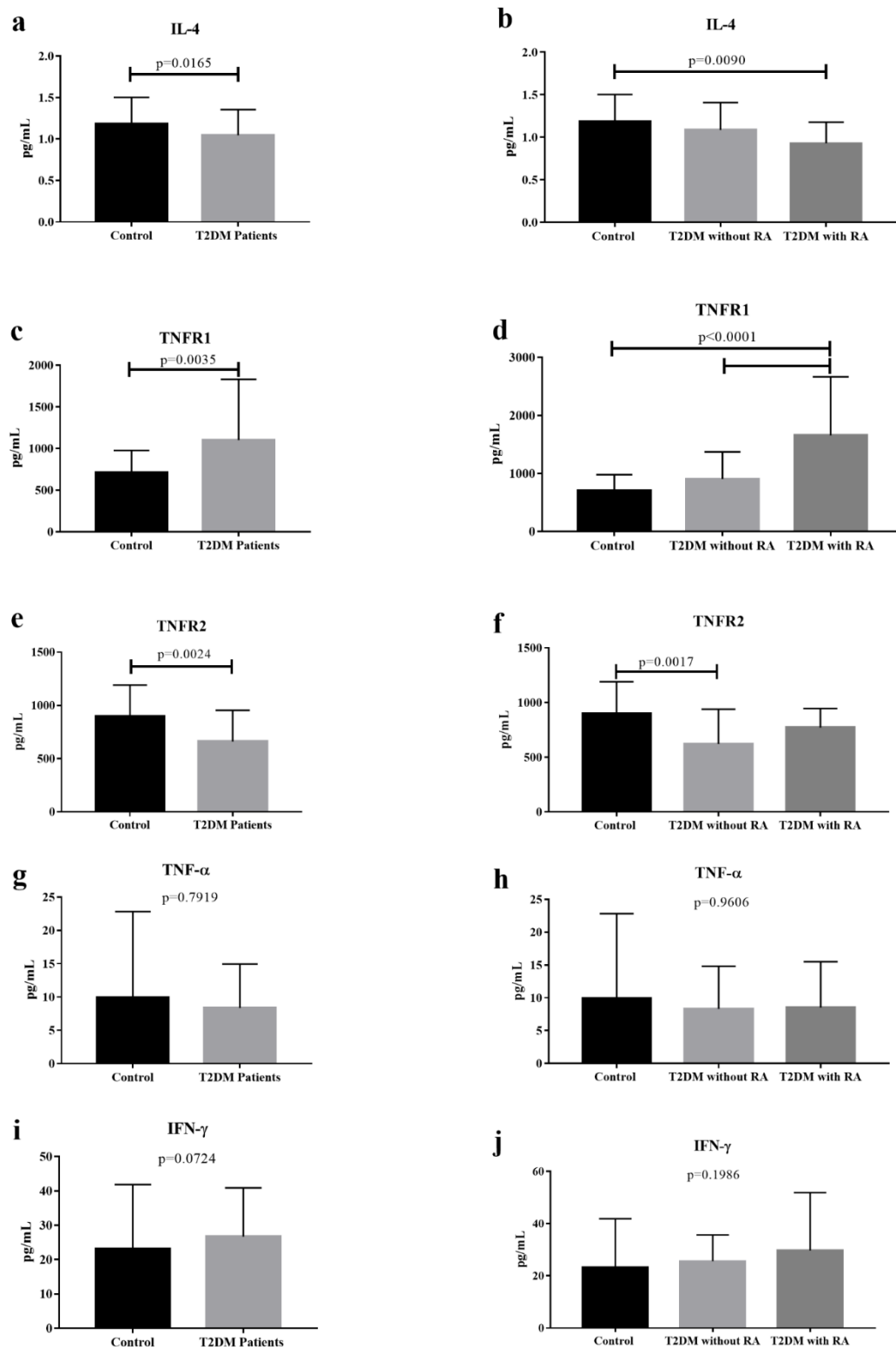
696 DM: Diabetes Mellitus. RA: Renal alteration. SAH: Systemic arterial hypertension. BMI: Body  
697 mass index. MG: Minas Gerais. eGFR: Estimated glomerular filtration rate. HgA1c: Glycated  
698 hemoglobin. TC: Total cholesterol. HDL: High density lipoprotein. LDL: Low density lipoprotein.  
699 TG: Triglycerides. NI: Not informed. SD: Standard deviation.

700

## 701 **Imbalance in serum cytokine production in patients with T2DM** 702 **with RA**

703 Inflammatory cytokines were analyzed in patients with T2DM to evaluate their  
704 production in the context of renal function. Patients with T2DM showed a significant decrease  
705 in serum IL-4 and TNFR2 levels ( $p=0.0165$ ,  $U=617$ , and  $p=0.0024$ ,  $U=541.5$ , respectively) and  
706 a significant increase in TNFR1 level compared to controls ( $p=0.0035$ ;  $U=554.5$ ). However,  
707 there was no significant difference in TNF- $\alpha$  level between the groups ( $p=0.7919$ ;  $U=879$ ), and  
708 there was only a tendency of increased IFN- $\gamma$  level in patients with T2DM ( $p=0.0724$ ;  $U=690$ ).  
709 Comparing the groups based on RA, the T2DM group with RA had decreased IL-4 levels  
710 compared to the control group ( $p=0.0090$ ;  $H=9.413$ , Dunn's post hoc test) and increased  
711 TNFR1 levels when compared to the T2DM group without RA and control group ( $p<0.0001$ ;  
712  $H=20.58$ , Dunn's post hoc test). Patients without RA showed a decrease in TNFR2 level  
713 compared to the control group ( $p=0.0017$ ;  $H=12.76$ , Dunn's post hoc test), and there was no  
714 significant difference in TNF- $\alpha$  ( $p=0.9606$ ;  $H=0.0803$ , Dunn's post hoc test) and IFN- $\gamma$  levels  
715 ( $p=0.1986$ ;  $H=3.233$  Dunn's post hoc test) between the groups (Fig 1).

716

717  
718**Fig 1. Serum cytokine concentrations in the T2DM group without and with RA and**

719

**control group. (a) Serum IL-4 level in T2DM patients and controls and (b) T2DM patients**

720

**without and with RA vs. controls. (c) Serum TNFR1 level in T2DM patients and controls and**

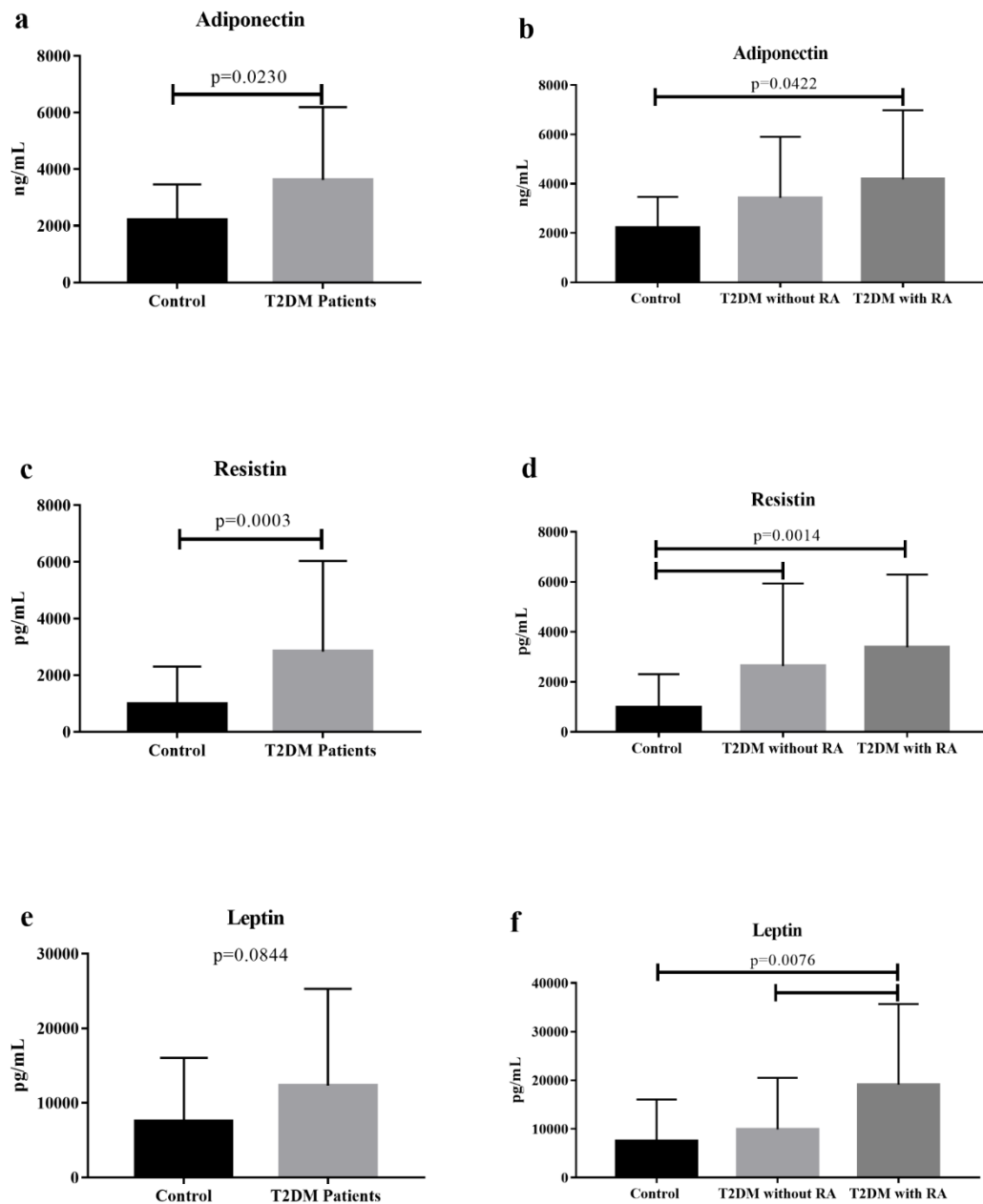
721 (d) T2DM patients without and with RA vs. controls. (e) Serum TNFR2 level in T2DM patients  
722 and controls and (f) T2DM patients without and with RA vs. controls. (g) Serum TNF- $\alpha$  in  
723 T2DM patients and controls and (h) T2DM patients without and with RA vs. controls. (i) Serum  
724 INF- $\gamma$  level in T2DM patients and controls and (j) T2DM patients without and with RA vs.  
725 controls. The results were expressed as mean  $\pm$  standard deviation. RA, renal alteration.

726

## 727 **Increased serum adipokine production in patients with T2DM with** 728 **RA**

729 Observing the imbalance in serum cytokine production in patients with T2DM with RA  
730 and considering T2DM as a low-grade chronic inflammatory process, we analyzed adipokine  
731 production in these patients. There was a significant increase in serum adiponectin and resistin  
732 levels in patients with T2DM compared to the control group ( $p=0.0230$ ,  $U=631.5$ , and  
733  $p=0.0003$ ,  $U=478.5$ , respectively). Moreover, there was a tendency for increased leptin levels  
734 in patients with T2DM compared to that in the control group ( $p=0.0844$ ;  $U=698$ ). Comparing  
735 the groups based on RA, there was an increase in adiponectin levels in the patients with T2DM  
736 with RA compared to the control group ( $p=0.0422$ ;  $H=6.329$ , Dunn's post hoc test). Regardless  
737 of RA, patients with T2DM showed an increase in resistin levels compared to the control group  
738 ( $p=0.0014$ ;  $H=13.12$ , Dunn's post hoc test). However, the serum leptin levels were significantly  
739 higher in the T2DM group with RA compared to those in the T2DM group without RA and  
740 control group ( $p=0.0076$ ;  $H=9.759$ , Dunn's post hoc test) (Fig 2).

741



742

743 **Fig 2. Serum adipokine levels in T2DM group without and with RA and control group.**

744 (a) Serum adiponectin levels in T2DM patients and controls and (b) T2DM patients without

745 and with RA vs. controls. (c) Serum resistin level in T2DM patients and controls and (d) T2DM

746 patients without and with RA vs. controls. (e) Serum leptin level in T2DM patients and controls

747 and (f) T2DM patients without and with RA vs. controls. The results were expressed as mean

748  $\pm$  standard deviation. RA, renal alteration.

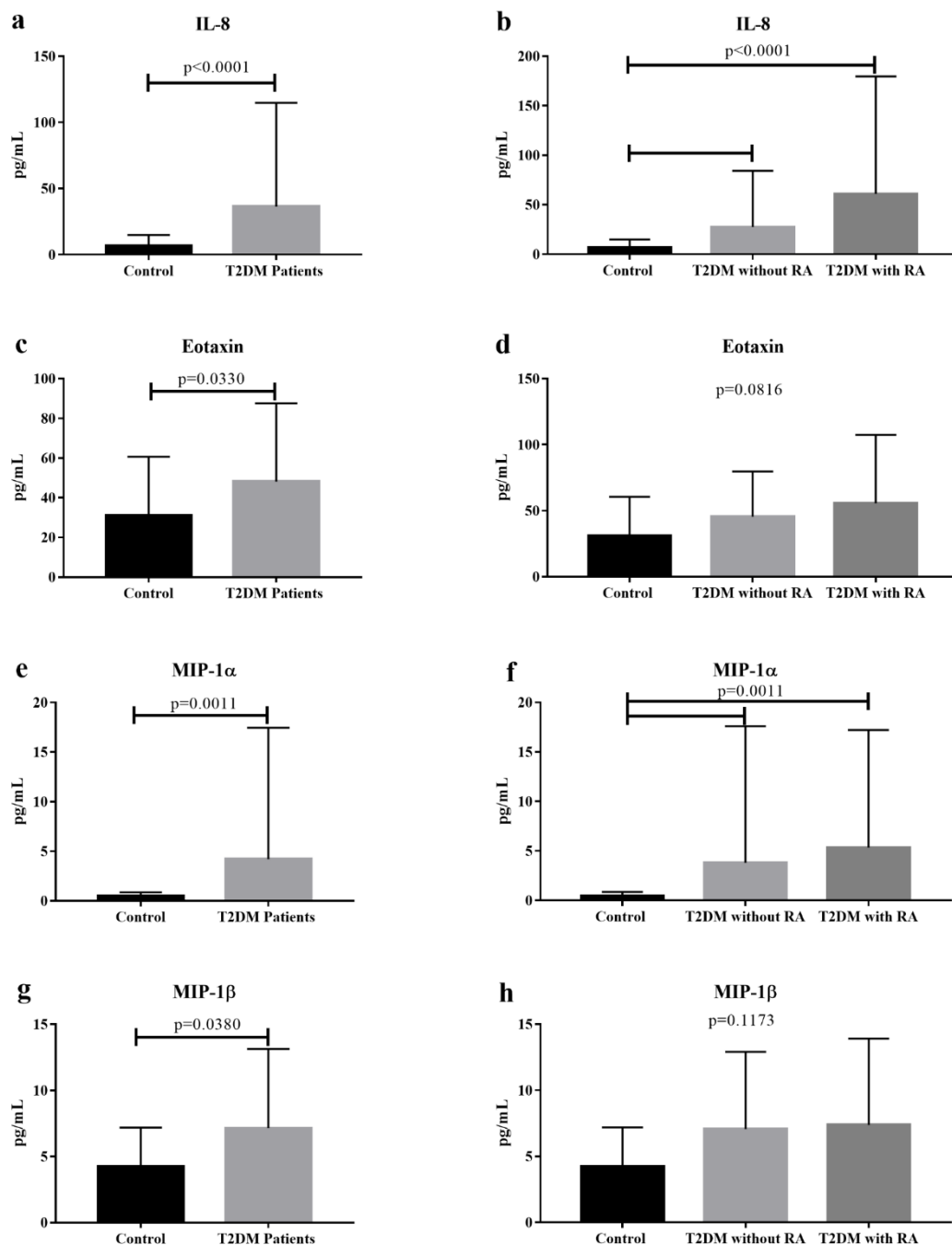
749

750 **Increased serum chemokine production in patients with T2DM**  
751 **with RA**

752 Observing the increase in adipokine production associated with the imbalance in  
753 cytokine production in patients with T2DM with RA, we analyzed the chemokine production  
754 in these patients. There was a significant increase in serum IL-8 ( $p < 0.0001$ ;  $U = 322$ ), eotaxin  
755 ( $p = 0.0330$ ;  $U = 648.5$ ), MIP-1 $\alpha$  ( $p = 0.0011$ ;  $U = 541$ ), and MIP-1 $\beta$  ( $p = 0.0380$ ;  $U = 655.5$ ) levels in  
756 T2DM patients compared to those in the control group.

757 Comparing the groups based on RA, it was found that, regardless of RA, serum IL-8  
758 levels remain significantly elevated in the T2DM group without RA and T2DM group with RA  
759 compared to that in the control group ( $p < 0.0001$ ;  $H = 22.8$ , Dunn's post hoc test). The same  
760 mechanism was observed with regard to the MIP-1 $\alpha$  level ( $p = 0.0011$ ;  $H = 13.61$ , Dunn's post  
761 hoc test). There was no significant difference in eotaxin ( $p = 0.0816$ ;  $H = 5.011$ , Dunn's post hoc  
762 test) and MIP-1 $\beta$  levels ( $p = 0.1173$ ;  $H = 4.286$ , Dunn's post hoc test) between the groups.  
763 However, it is possible to observe that both eotaxin and MIP-1 $\beta$  tend to behave similarly to IL-  
764 8 and MIP-1 $\alpha$  (Fig 3).

765

766  
767**Fig 3. Serum chemokine levels in the T2DM group without and with RA and control**

768

**group.** (a) Serum IL-8 level in T2DM patients and controls and (b) T2DM patients without and

769

with RA vs. controls. (c) Serum eotaxin level in T2DM patients and (d) T2DM

770

patients without and with RA vs. controls. (e) Serum MIP-1 $\alpha$  level in T2DM patients and

771

controls and (f) T2DM patients without and with RA vs. controls. (g) Serum MIP-1 $\beta$  level in

772 T2DM patients and controls and (h) T2DM patients without and with RA vs. controls. The  
773 results were expressed as mean  $\pm$  standard deviation. RA, renal alteration.

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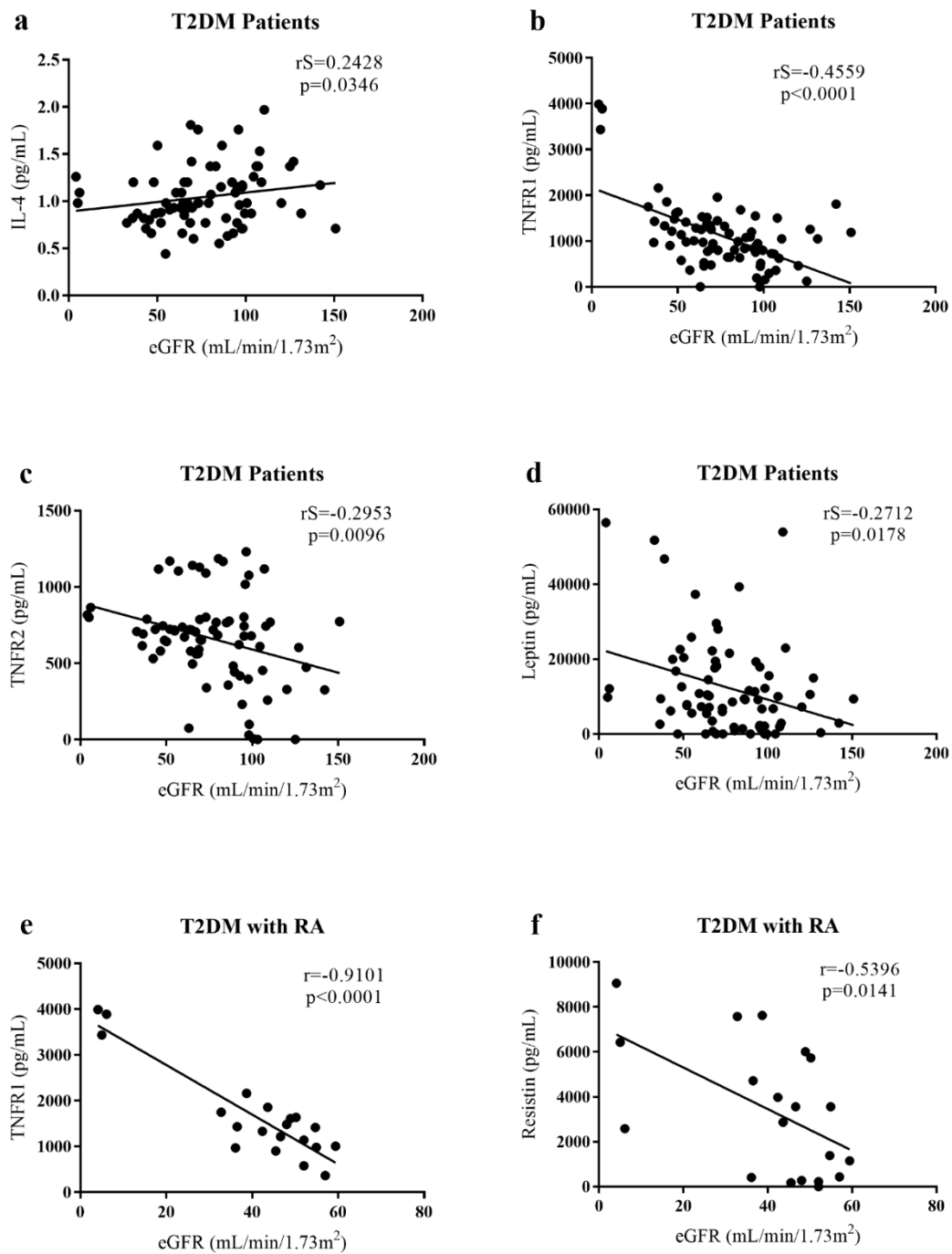
775 **Correlation between eGFR and cytokines/ chemokines/ adipokines**  
776 **in patients with T2DM with RA**

777 To evaluate the relationship of mediators in patients with T2DM with RA, correlations  
778 between eGFR and cytokines/chemokines/adipokines were analyzed. Patients with T2DM had  
779 a positive and significant correlation between eGFR and IL-4 ( $p=0.0346$ ;  $rS=0.2428$ ) and a  
780 negative and significant correlation between eGFR and TNFR1 ( $p<0.0001$ ;  $rS=-0.4559$ ),  
781 TNFR2 ( $p=0.0096$ ;  $rS=-0.2956$ ), and leptin ( $p=0.0178$ ;  $rS=-0.2712$ ). In the T2DM group with  
782 RA, eGFR was negatively and significantly correlated with TNFR1 ( $p<0.0001$ ;  $r=-0.9101$ ) and  
783 resistin ( $p=0.0141$ ;  $r=-0.5396$ , Fig 4).

784 Patients with T2DM with RA showed a positive and significant correlation between  
785 TNFR1 and resistin ( $p=0.0002$ ;  $rS=0.7349$ ) and leptin ( $p=0.0420$ ;  $rS=0.4586$ ). A positive and  
786 significant correlation was also observed between resistin and leptin ( $p=0.0192$ ;  $r=0.5185$ ) and  
787 between resistin and IL-8 ( $p=0.0087$ ;  $rS=0.57$ , Fig 5).

788





789

790

**Fig 4. Correlations between cytokine serum levels and estimated glomerular filtration rate**

791

**(eGFR) in T2DM patients and T2DM with RA. (a) Positive and significant correlation**

792

between IL-4 level and eGFR in T2DM patients. (b) Negative and significant correlation, in

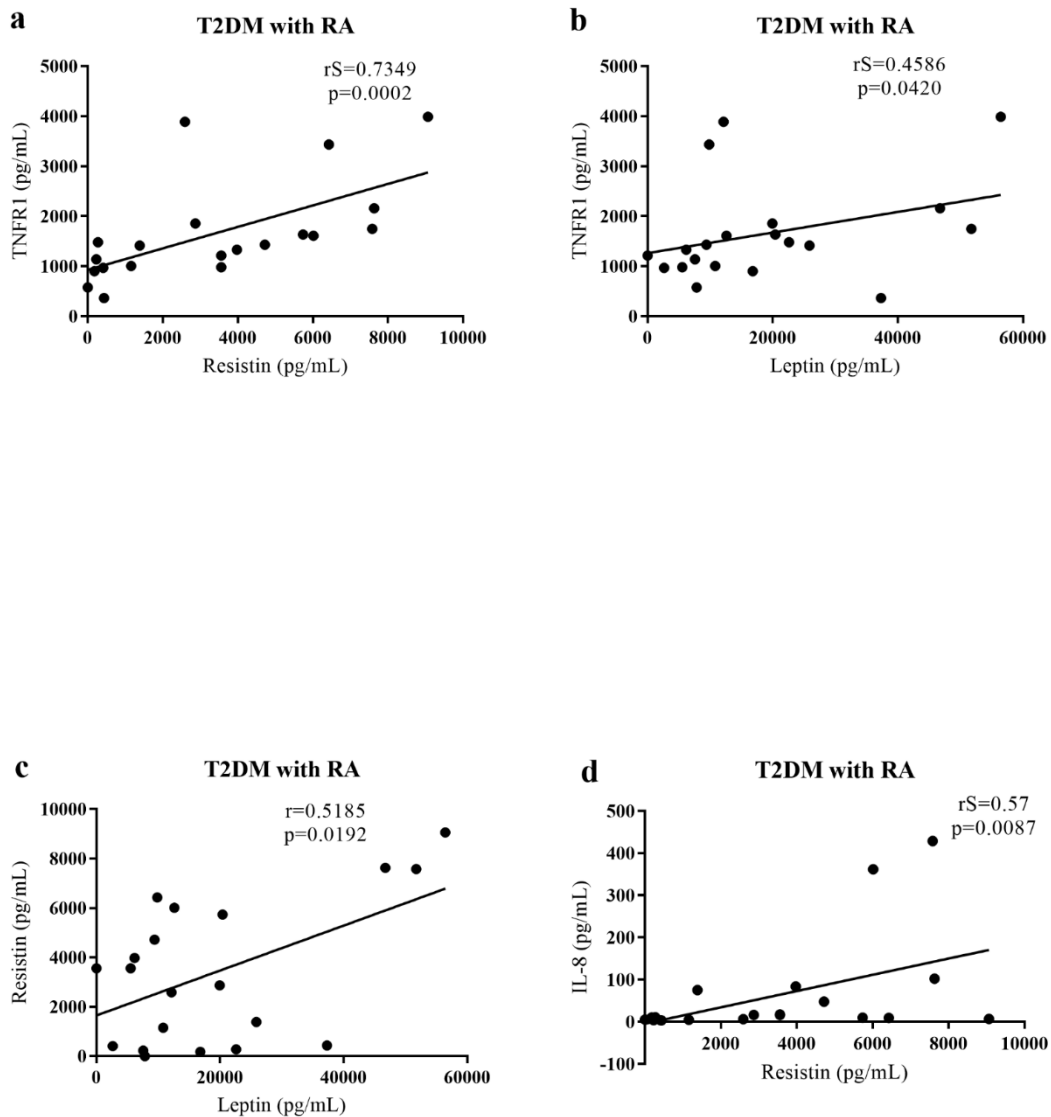
793

T2DM patients, between TNFR1 level and eGFR, (c) between TNFR2 level and eGFR and (d)

794

between leptin level and eGFR. (e) Negative and significant correlation, in T2DM with RA

795 group, between TNFR1 level and eGFR and (f) between resistin level and eGFR. RA, renal  
 796 alteration.  
 797



798  
 799 **Fig 5. Correlations between serum cytokine and adipokine levels in patients with T2DM**  
 800 **with RA.** (a) Positive and significant correlation between TNFR1 and resistin levels, (b)  
 801 between TNFR1 and leptin levels, (c) between resistin and leptin levels, (d) between resistin  
 802 and IL-8 levels in patients with T2DM with RA. RA, renal alteration.

803

804

## 805 **Discussion**

806           Although hyperglycemia is considered the main triggering factor of Diabetic  
807 Nephropathy (DN), low-grade chronic inflammation is one of the triggering factors of kidney  
808 injury in patients with T2DM [13-15]. Several studies are being conducted to determine the  
809 actual role of inflammatory cytokines in the development and progression of diabetic kidney  
810 disease [16-18]. In this context, this study analyzed the serum cytokine/chemokine/adipokine  
811 levels in patients with T2DM with or without RA as determined by eGFR in relation to those  
812 in healthy patients, to investigate the association of these inflammatory mediators with  
813 decreased renal function.

814           In our study, patients with T2DM had decreased serum IL-4 levels compared to the  
815 control group. A decreased IL-4 level was also found in patients with T2DM with RA compared  
816 to that in the control group, indicating that not only diabetes but also RA characterized by eGFR  
817  $<60$  mL/min/1.73 m<sup>2</sup> is associated with decreased IL-4 level. IL-4 is a Th2 profile anti-  
818 inflammatory cytokine that acts to reduce the secretion of proinflammatory cytokines by  
819 activated macrophages and stimulates the production of a number of anti-inflammatory  
820 molecules, such as IL-1ra [19], IL-1R2 [20], and soluble TNF receptors [21]. Patients with DN  
821 [22], as well as those with T2DM [23], show decreased serum IL-4 levels. Results of  
822 experimental studies with db/db mice suggested that suppression of the inflammatory process  
823 by anti-inflammatory cytokines is impaired in T2DM [24, 25]. The decrease in serum IL-4  
824 levels compromises its action in reducing the effects of IL-1 and IL-8 [26, 27], determining a  
825 factor of worse evolution of T2DM. Thus, the decrease in IL-4 level may be associated with  
826 the development of inflammatory process complications. This culminates in impairing the renal  
827 function of these patients, since serum IL-4 level decreases as eGFR decreases, as shown by  
828 the positive and significant correlation between IL-4 level and eGFR. Possibly, the decrease in

829 serum IL-4 levels in the patients of this study could be due to the increase in cytokine,  
830 adipokine, and chemokine levels.

831 In this study, the results of patients with T2DM were different with respect to serum  
832 TNFR levels as TNFR1 level increased and TNFR2 level decreased compared to those in the  
833 control group. One of the main findings of our study was that, exclusively, the increase in  
834 TNFR1 level distinguished the patients with T2DM with RA from those with T2DM without  
835 RA and healthy volunteers. This fact was confirmed by the negative and significant correlation  
836 between eGFR and TNFR1 in patients with T2DM and even stronger correlation in patients  
837 with T2DM with RA. Thus, this result shows that TNFR1 can predict a decrease in renal  
838 function in patients with T2DM. Although TNFR2 is decreased in patients with T2DM without  
839 RA compared to those in the control group, it was noted that its serum level might increase in  
840 patients with T2DM with RA, which was strengthened by the fact that eGFR correlates  
841 negatively with TNFR2 level. Nevertheless, despite the relevance of the results found with their  
842 receptors, we did not observe any significant difference between the groups in relation to TNF-  
843  $\alpha$ .

844 TNF- $\alpha$  is a pleiotropic cytokine that plays an important role in the mediation of  
845 inflammatory processes. It is a transmembrane homotrimeric protein, which is produced by  
846 many cells, including fat, endothelial cells, and leukocytes. In plasma, TNF- $\alpha$  appears free or  
847 bound to the circulating TNFR1 and TNFR2 [28]. In a 12-year follow-up study conducted in  
848 patients with T2DM, it was observed that, of all markers analyzed, only TNFR1 and TNFR2  
849 were associated with the risk of end-stage renal disease. A stronger association was found with  
850 TNFR1, suggesting that high serum levels of this receptor can predict the progression of T2DM  
851 to CKD [29]. Other studies have shown that elevated plasma TNFR1 levels are associated with  
852 decreased eGFR in patients with T2DM [30, 31], which corroborates our findings. In contrast,  
853 a recent study showed that patients with T2DM had increased plasma levels of not only TNFR1

854 but also TNF- $\alpha$  and TNFR2 compared to the control group, which differs from our findings.  
855 However, similar to our results, TNFR1 and TNFR2 were strongly associated with kidney  
856 injury [32]. It is still unclear why serum TNFR levels are more closely associated with eGFR.  
857 One possible explanation is that, because TNFR levels are at least 100 times greater than TNF-  
858  $\alpha$  levels, circulating TNFRs play an important role in the progression of diabetic kidney disease,  
859 regardless of the TNF- $\alpha$  levels [33].

860 In association with the cytokine findings, our study found an increase in serum  
861 adipokine levels in patients with T2DM. Patients with T2DM with RA showed a significant  
862 increase in adiponectin level. Regardless of RA, patients with T2DM also showed an increase  
863 in resistin level. However, serum resistin levels tend to increase as eGFR decreases, which was  
864 confirmed by the negative and significant correlation found between eGFR and resistin level.  
865 Additionally, another important finding of our study was in relation to the serum leptin levels,  
866 which established its importance in distinguishing patients with T2DM with RA, also showing  
867 a negative and significant correlation with eGFR. In light of these findings, our results  
868 demonstrate that an increase in adipokine levels is related to a decrease in renal function in  
869 patients with T2DM.

870 Adiponectin is an adipokine secreted exclusively by human adipocytes [34]. It has  
871 beneficial effects on insulin resistance and anti-inflammatory [35] and anti-oxidative properties  
872 [36]. It is suggested that the anti-inflammatory action of adiponectin is due to the inhibition of  
873 proinflammatory cytokine production, such as IL-6 and TNF- $\alpha$ , by macrophages and/or  
874 reduction of their phagocytic action [37]. We observed a significant increase in adiponectin  
875 level in our patients. Perhaps, this factor has contributed in the serum levels of proinflammatory  
876 cytokines, such as TNF- $\alpha$  and IFN- $\gamma$ . Although some studies reported that patients with T2DM  
877 have lower circulating quantities of adiponectin than those without T2DM [38, 39], other  
878 studies have shown that, under various kidney disease conditions [40, 41] and in patients with

879 T2DM with CKD [42, 43] the serum adiponectin levels are increased, which corroborates our  
880 findings. Another study evaluating more than 1,200 patients with T2DM showed an inverse  
881 relationship between serum adiponectin levels and eGFR [44]. The relationship of adiponectin  
882 and CKD is still controversial. It is suggested that, in individuals with kidney dysfunction,  
883 increased adiponectin levels represent not only a decrease in renal excretion but also a  
884 temporary homeostatic mechanism in an attempt to reduce renal damage through anti-  
885 inflammatory and anti-oxidative mechanisms [45, 46].

886         Resistin is a protein secreted mainly by macrophages and monocytes in humans and has  
887 proinflammatory effects [47, 48]. The association between serum resistin levels and CKD in  
888 diabetes is also unclear. It was recently observed that patients with microalbuminuria and  
889 T2DM with eGFR <60 mL/min/1.73 m<sup>2</sup> showed a significant increase in serum resistin levels  
890 compared to patients with T2DM with normal renal function. Additionally, serum resistin levels  
891 were correlated negatively with eGFR and positively with C-reactive protein level. Thus, the  
892 main determinants of resistin levels in patients with T2DM are renal function level and  
893 inflammation [7]. Axelsson et al. demonstrated that high resistin levels in patients with T2DM  
894 with CKD were associated with decreased eGFR and inflammation [49]. A prospective cohort  
895 study showed that high resistin and TNFR2 levels are related to a higher risk of decline in renal  
896 function [50]. Moreover, an increase in resistin levels was observed in the early stages of CKD  
897 [51]. This means that even in mild renal function, there is already an increase in resistin level,  
898 which corroborates our findings. In agreement, other investigations suggest that resistin might  
899 promote endothelial dysfunction by enhancing the oxidative stress, an effect that would  
900 eventually culminate in glomerular dysfunction [52, 53] and that the adverse effects of resistin  
901 could be attributed to its ability to stimulate proinflammatory cytokine production [47, 54].

902         Another adipokine with proinflammatory effects, which promotes the synthesis of other  
903 inflammatory cytokines, is leptin. It is involved in the control of food intake, leading to appetite

904 suppression. Patients with obesity have hyperleptinemia due to the development of leptin  
905 resistance [55]. High leptin levels are associated with insulin resistance and development of  
906 T2DM [56]. It has been shown that an increase in serum leptin levels are related to a decline in  
907 eGFR, and this association has been described to be stronger in women [57] and patients with  
908 CKD [58]. Both the decrease and increase in leptin levels are risk factors for the decline in renal  
909 function in patients with T2DM [59]. Our results showed that patients with T2DM with  
910 decreased renal function had increased serum leptin levels. In addition to a decreased renal  
911 excretion due to renal dysfunction, unfavorable actions of leptin, such as the activation of the  
912 sympathetic nervous system, rather than causing beneficial effects, may affect the renal function  
913 decline in patients with hyperleptinemia. This can be then further compromised, due to the  
914 leptin resistance found in these patients [59].

915         Among the chemokines analyzed, we observed that patients with T2DM had a  
916 significant increase in serum IL-8, eotaxin, MIP-1 $\alpha$ , and MIP-1 $\beta$  levels compared to the control  
917 group. One study evaluated urinary cytokine levels in patients with T2DM with normo- and  
918 microalbuminuria and found a significant increase in urinary IL-8, IP-10, MCP-1, G-CSF,  
919 eotaxin, RANTES, and TNF- $\alpha$  levels in patients with microalbuminuria compared to patients  
920 with normoalbuminuria. Patients with microalbuminuria had a significant increase in GM-CSF,  
921 MIP-1 $\alpha$ , and MIP-1 $\beta$  levels compared to the control group. These results indicated that  
922 determination of the urine cytokine level might be useful in the diagnosis and early treatment  
923 of diabetic nephropathy [60].

924         Our results showed that the increase in serum IL-8 levels in patients with T2DM is  
925 independent of the presence of RA, although its increase, accompanied by decrease in eGFR,  
926 is noticeable. IL-8 (CXCL8) was the first chemokine to be discovered and has a predominantly  
927 chemoattractant effect on neutrophils [61, 62]. It enhances the expression of adhesion molecules  
928 by endothelial cells and antagonizes IgE production stimulated by IL-4 [63]. It is produced

929 mainly by monocytes/macrophages and, to a lesser extent, by fibroblasts, endothelial cells,  
930 keratinocytes, hepatocytes, melanocytes, and chondrocytes. IL-1, TNF- $\alpha$ , and IFN- $\gamma$  are its  
931 main stimulators [64]. In the kidneys, podocytes and endothelial cells of interstitial vessels are  
932 the main sources of IL-8, while tubular epithelial cells express small amounts of this cytokine.  
933 In inflammatory kidney diseases, the IL-8 expression increases fivefold compared to those in  
934 normal structures. It increases the level of endothelial cells near the inflammatory site,  
935 facilitates the recruitment and crossing of leukocytes through the endothelium, and alters the  
936 expression of adhesion molecules [65]. Urinary IL-8 levels have been observed to be elevated  
937 in the early stages of diabetic nephropathy in patients with T2DM [66]. Another study that  
938 evaluated the association between urinary cytokine levels and decreased eGFR in patients with  
939 T2DM with DN found that increased urinary levels of IL-6, IL-8, TNF- $\alpha$ , and TFG- $\beta$  were  
940 predictors of a faster decline in renal function, indicating the clinical utility of these levels in  
941 stratifying the risk of renal disease progression [67]. In patients with T2DM, IL-8 was  
942 negatively associated with eGFR and positively associated with BMI [68]. These studies reveal  
943 that there is an association between increased IL-8 level and decreased eGFR in patients with  
944 T2DM. Our results showed that the increase in serum IL-8 level anticipated a decrease in renal  
945 function in patients with T2DM. A possible explanation is that the hyperglycemic environment  
946 itself promotes increased serum levels of this chemoattractant cytokine. These contribute to the  
947 onset and progression of the inflammatory process, from recruitment, especially of neutrophils,  
948 to vascular changes, such as increased permeability that favors the arrival of new inflammatory  
949 cells to the inflammatory site, which results in renal function impairment [69].

950         Similar to that found in relation to serum IL-8 levels, in our study, the patients with  
951 T2DM also showed an increase in serum MIP-1 $\alpha$  and MIP-1 $\beta$  levels compared to the control  
952 group. However, only MIP-1 $\alpha$  level showed a significant difference in the evaluation of T2DM  
953 group without RA and T2DM group with RA in relation to the control group. Thus, similar to



954 the IL-8 level, the increase in serum MIP-1 $\alpha$  levels in patients with T2DM is independent of  
955 the presence of RA. However, its increase also seems to accompany the decrease in eGFR.  
956 MIP-1 $\alpha$  (CCL3) and MIP-1 $\beta$  (CCL4) belong to the CC subfamily of chemokines and induce  
957 the expression of adhesion and costimulatory molecules on the surface of T cells, NK cells,  
958 macrophages, and monocytes. These chemokines not only mediate the chemotaxis of these cells  
959 but also promote the secretion of proinflammatory cytokines [70]. One study evaluated the  
960 serum levels of inflammatory cytokines in 64 patients with T2DM with CKD, and it was  
961 observed that patients with eGFR of 30–59 mL/min/1.73 m<sup>2</sup> had increased serum MIP-1 $\alpha$   
962 levels. This was associated with the decline in eGFR and also correlated positively with urinary  
963 albumin excretion [71]. Patients with T2DM with diagnosis of DN showed an increase in serum  
964 MIP-1 $\beta$  levels in CKD stages 1–2 [72]. Thus, corroborating these studies, our results suggest  
965 that increased serum MIP-1 $\alpha$  and MIP-1 $\beta$  levels may anticipate the decline in renal function of  
966 patients with T2DM.

967 In this study, patients with T2DM showed an increase in serum eotaxin levels compared  
968 to the control group. However, there was no difference between patients with T2DM with and  
969 those without RA. Although there was no such difference, a trend of increased serum eotaxin  
970 levels in these patients was noted. Eotaxin is a CC chemokine that acts on chemotaxis, mainly  
971 of eosinophils. It is secreted by endothelial cells, macrophages, fibroblasts, and smooth muscle  
972 cells [73]. In 2015, a study conducted in African American patients with type 1 diabetes was  
973 the first to report that increased plasma eotaxin levels are an independent predictor of renal  
974 failure [74]. A prolonged hyperglycemia process increases the excretion of urinary eotaxin and  
975 other inflammatory mediators [75]. Increased urinary eotaxin levels were found in patients with  
976 microalbuminuria and T2DM compared to patients with normoalbuminuria and controls [60].  
977 In addition to angiogenic properties [76] and contributing to renal interstitial eosinophilia [77],  
978 studies have shown that an increase in serum eotaxin levels in patients with T2DM could play

979 an important role in the process of atherosclerosis that developed in patients with T2DM [78]  
980 and chronic renal disease [72]. Increased serum eotaxin levels were also observed in obese mice  
981 and humans [79]. Thus, it is possible to associate the increase in serum and urinary eotaxin  
982 levels with the development of T2DM complications and renal function impairment, as  
983 probably related to obesity that may affect patients with T2DM and favor the low-grade chronic  
984 inflammatory process [72, 79].

985         Low-grade chronic inflammation promoted by T2DM is associated with macrophage  
986 infiltration in the kidney. Monocytes/macrophages and neutrophils are considered primordial  
987 cells that drive inflammation and concomitant production of proinflammatory cytokines in vivo  
988 [80, 81]. Increased infiltration of activated monocytes, macrophages, and T lymphocytes are  
989 described in the kidneys of patients with T2DM with DN [82, 83] reinforcing the hypothesis  
990 that T2DM is a disease of the innate immune system [84, 85]. Thus, our study demonstrates  
991 that patients with T2DM with RA show increased stimulation for the recruitment of innate  
992 immune cells, through an increase in the serum levels of pro-inflammatory chemokines,  
993 stimulated by the increase in adipokines and TNFR1, with consequent decrease in IL-4,  
994 favoring the inflammatory process. Hence, this immune mechanism could be associated with  
995 and plays an important role in promoting the decline in renal function in patients with T2DM.  
996 Therefore, our study highlights the importance of this screening for increased serum TNFR1,  
997 adipokine, and chemokine levels and decreased serum IL-4 level in patients with T2DM to  
998 identify individuals at risk of progressive loss of renal function.

999         We demonstrated that TNFR1 correlated positively with resistin and leptin in patients  
1000 with T2DM with RA, showing its contribution to the increase of these adipokines in conditions  
1001 of decreased renal function. Furthermore, under this same condition, resistin was shown to be  
1002 positively related to leptin and IL-8. Thus, adipokines, especially resistin and leptin, TNFR1,

1003 and IL-8, exert similar behaviors in patients with T2DM with decreased renal function in their  
1004 inflammatory process.

1005

## 1006 **Conclusions**

1007 Our study showed that serum TNFR1, IL-4, adipokines, and chemokines play an  
1008 important role in the inflammatory process in T2DM and decreased renal function. Moreover,  
1009 our data indicate that TNFR1 is a strong predictor of renal dysfunction in patients with T2DM.

1010

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1019

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*Artigo 2*

1332 **ARTIGO 2**

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1334 **TÍTULO: Renal expression of cytokines and chemokines in diabetic nephropathy**

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1336 **SITUAÇÃO:**

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1338 **Renal expression of cytokines and chemokines in diabetic nephropathy**

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## ABSTRACT

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Introduction: Diabetic nephropathy (DN) is the leading cause of end-stage renal disease worldwide. Inflammatory mediators have been implicated in the pathogenesis of DN, which is thus considered an inflammatory disease. However, further studies are required to assess the renal damage caused by the action of these molecules. Therefore, the objective of this study was to analyze the expression of cytokines and chemokines in renal biopsies from DN patients and to correlate it with interstitial inflammation and decreased renal function. Methods: Forty-four native renal biopsies from DN patients and 23 control cases were selected. The *in situ* expression of eotaxin, MIP-1 $\alpha$  (macrophage inflammatory protein-1 $\alpha$ ), IL-8 (interleukin-8), IL-4, IL-10, TNF- $\alpha$  (tumor necrosis factor- $\alpha$ ), TNFR1 (tumor necrosis factor receptor-1), IL-1 $\beta$ , and IL-6 was analyzed by immunohistochemistry. Results: The DN group showed a significant increase in IL-6 ( $p<0.0001$ ), IL-1 $\beta$  ( $p<0.0001$ ), IL-4 ( $p<0.0001$ ), and eotaxin ( $p=0.0012$ ) expression, and a decrease in TNFR1 ( $p=0.0107$ ) and IL-8 ( $p=0.0262$ ) expression compared to the control group. However, there were no significant differences in IL-10 ( $p=0.4951$ ), TNF- $\alpha$  ( $p=0.7534$ ), and MIP-1 $\alpha$  ( $p=0.3816$ ) expression among the groups. Regarding the interstitial inflammation, there was a significant increase in IL-6 in scores 0 and 1 compared to that in score 2 ( $p=0.0035$ ), in IL-10 in score 2 compared to that in score 0 ( $p=0.0479$ ), and in eotaxin in score 2 compared to that in scores 0 and 1 ( $p<0.0001$ ), whereas IL-8 ( $p=0.0513$ ) and MIP-1 $\alpha$  ( $p=0.1801$ ) showed no significant differences. There was a tended for negative correlation between eotaxin and the estimated glomerular filtration rate (eGFR) ( $p=0.0566$ ). Conclusion: Our results indicated an increased *in situ* production of cytokines and chemokines in DN, including IL-6, IL-1 $\beta$ , IL-4, and eotaxin. Moreover, it was observed that eotaxin plays an important role in the progression of interstitial inflammation in DN and may be associated with decreases in the eGFR in these patients.

1395 **Keywords:** diabetic nephropathy, cytokines, chemokines, renal biopsy, interstitial  
1396 inflammation

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1398 INTRODUCTION

1399         Diabetic nephropathy (DN) is a chronic microvascular complication that affects about  
1400 20 to 30% of patients with type 2 diabetes mellitus (T2DM). It is considered the leading cause  
1401 of end-stage renal failure requiring renal replacement therapy (1, 2), although its pathogenesis  
1402 has not yet been fully elucidated. Immune and inflammatory mechanisms play important roles  
1403 in the development and progression of DN, which is considered a chronic inflammatory disease  
1404 (3, 4). Several cells, such as monocytes, macrophages, and lymphocytes, as well as chemokines  
1405 and cytokines, have been implicated in this process (5, 6). Among them, it is known that IL-1 $\beta$ ,  
1406 IL-6, TNF- $\alpha$  (tumor necrosis factor- $\alpha$ ), IL-8, MIP-1 $\alpha$  (macrophage inflammatory protein-1 $\alpha$ )  
1407 are relevant for the development of DN, as they play actions potentially involved in the onset  
1408 of its complications (7-9).

1409         Patients with DN have a predominance of increased levels of inflammatory mediators  
1410 in the serum and urine, even in the early stages of the disease, and in the decreased renal  
1411 function (9-13). However, the extent to which renal damage is caused by the action of immune  
1412 cell-derived cytokines and chemokines and the importance of such inflammatory mechanisms  
1413 on the development and progression of DN requires further investigation (14, 15).

1414         Renal biopsies are considered the gold standard for the diagnosis of glomerulopathies;  
1415 however, diabetic patients are only subjected to renal biopsies in cases of atypical clinical  
1416 courses of DN. Atypical presentations include microalbuminuria without diabetic retinopathy,  
1417 a rapid decline in glomerular filtration rate, rapidly increasing proteinuria, a sudden onset of  
1418 nephrotic syndrome, hematuria, a period of less than five years since the diagnosis of diabetes,  
1419 or signs and symptoms of systemic diseases (16, 17).

1420           However, further studies using this type of samples to investigate the mechanisms  
1421 associated with the expression of inflammatory mediators implicated in the pathogenesis of DN  
1422 are required, as the level of inflammatory mediators is reflective of the direct action of the  
1423 molecules in the organs, as well as the relationship with DN. Therefore, the objective of this  
1424 study was to analyze the expression of cytokines and chemokines, such as, IL-1 $\beta$ , IL-6, IL-4,  
1425 IL-10, TNF- $\alpha$ , TNFR1 (tumor necrosis factor receptor-1), IL-8, MIP-1 $\alpha$  e eotaxin, in renal biopsies  
1426 from DN patients and determine its correlation with interstitial inflammation and decreased  
1427 renal function.

1428

## 1429 MATERIAL AND METHODS

### 1430 *Patients*

1431           Forty-four cases of native renal biopsies from adult patients diagnosed with DN were  
1432 selected from the Renal Pathology Service database of the Federal University of Triângulo  
1433 Mineiro (UFTM), Uberaba-MG, Brazil, in the period from 1996 to 2018. All cases of DN  
1434 without overlap of other kidney disease of patients over 18 years and with satisfactory samples  
1435 for analysis were included in the study. The control group (n=23) consisted of kidneys obtained  
1436 from the autopsies of patients >18 years old, with no evidence of infection or previous renal  
1437 changes. Cases with autolysis, acute tubular necrosis, and congestion with moderate to severe  
1438 changes were excluded from the control group. These samples were obtained from the  
1439 Pathology Service of the University of São Paulo/Ribeirão Preto. This study was approved by  
1440 the Ethics and Research Committee of the Federal University of Triângulo Mineiro (no.  
1441 3.001.006).

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### 1443 *Renal histopathology*



1444 The diagnosis of DN was performed based on the evaluation of native kidney fragments,  
1445 with three samples used for light microscopy (LM), direct immunofluorescence (IF), and  
1446 transmission electron microscopy (TEM) analyses according to the standard procedures (18).

1447 For LM, 2- $\mu$ m paraffin sections were stained with hematoxylin and eosin (H&E),  
1448 picrosirius, methenamine silver, and Masson's trichrome. LM was used to analyze  
1449 morphological changes and interstitial inflammation. The interstitial inflammation in DN was  
1450 evaluated using scores, including score 0 (absence of interstitial inflammation), score 1  
1451 [interstitial inflammation related to interstitial fibrosis and tubular atrophy (IFTA)] and score 2  
1452 (interstitial inflammation in areas other than IFTA). The DN classes were defined according to  
1453 the pathologic classification of DN (19).

1454 For IF, IgG, IgM, and IgA immunoglobulins, kappa and lambda light chains, C3 and  
1455 C1q complement fractions, and fibrinogen were detected in 2- $\mu$ m frozen tissues using  
1456 fluorescein isothiocyanate (FITC)-conjugated antibodies (Dako, Copenhagen, Denmark). IF  
1457 was used to exclude or identify kidney disease overlapping ND. For TEM, the tissue was first  
1458 fixed in 2.5% Karnovsky + 0.2% ruthenium red, then fixed in 2% osmium tetroxide. Next, the  
1459 tissue was dehydrated using a graded series of alcohol and acetone solutions before embedding  
1460 in Epon 812 resin. Ultra-thin sections of 60 nm were prepared and placed in nickel grids. The  
1461 sections were then stained with uranyl acetate and examined under a transmission electron  
1462 microscope (EM-900; Zeiss, Germany) (18). TEM was used to measure the thickness of the  
1463 glomerular basement membrane (GBM) and to exclude or identify kidney disease overlapping  
1464 ND. All cases of DN overlapping with other kidney diseases were excluded from the study.

1465

#### 1466 *Immunohistochemistry*

1467 Immunohistochemistry was performed manually on slides containing 2- $\mu$ m paraffin-  
1468 embedded tissue sections using the Novolink non-biotin polymer system (Novolink Polymer

1469 Detection System Kit; BL, UK) according to the manufacturer's recommendations.  
 1470 Specifications of the antibodies used are summarized in table 1.

1471 Table 1 - Immunohistochemistry specifications

Primary antibody	Supplier	Clone or code	Antigenic recovery	Concentration
Anti-eotaxin monoclonal antibody	Thermo Fisher Scientific	43911	Citrate pH 6.0	1:100
Anti-MIP-1 $\alpha$ (macrophage inflammatory protein-1 $\alpha$ ) (CCL3) polyclonal antibody	Thermo Fisher Scientific	PA5-32496	Citrate pH 6.0	1:1600
Anti-IL-4 (interleukin-4) polyclonal antibody	Thermo Fisher Scientific	PA5-25165	Citrate pH 6.0	1:1300
Anti-IL-8 (CXCL8) polyclonal antibody	Thermo Fisher Scientific	PA5-79113	Citrate pH 6.0	1:1400
Anti-IL-10 polyclonal antibody	Thermo Fisher Scientific	PA5-79457	Citrate pH 6.0	1:1200
Anti-TNF- $\alpha$ (tumor necrosis factor- $\alpha$ ) monoclonal antibody	Thermo Fisher Scientific	2C8	Citrate pH 6.0	1:1200
Anti-TNF Receptor I (tumor necrosis fator receptor-1) monoclonal antibody	abcam	H398	Citrate pH 6.0	1:300
Anti-IL-1 $\beta$ polyclonal antibody	Novus Biologicals	NBP1-19775	Citrate pH 6.0	1:40
Anti-IL-6 polyclonal antibody	abcam	ab6672	Citrate pH 6.0	1:300

1472 Source: the author himself

1473

1474 *Quantification of in situ immunostaining*

1475 All fields of renal biopsy samples and 40 fields of autopsy kidney fragments, which  
 1476 included glomerular and tubulointerstitial compartments, were analyzed. Immunostained cells  
 1477 that showed an intense brownish staining were marked by the observer using the interactive  
 1478 AxionCam ICc 5 (Zeiss, Germany) image analysis system with a 40 $\times$  objective (final

1479 magnification of 1,600×). The results were expressed as the percentage of marked area  
1480 compared to the total area of the analyzed fields.

1481

#### 1482 *Statistical analysis*

1483 A spreadsheet (Microsoft Excel) was created for the statistical analysis. Data analysis  
1484 was performed using GraphPad Prism version 7.0 (GraphPad Software, USA). Normality was  
1485 tested using the Kolmogorov-Smirnov test. In cases of normal distribution and similar  
1486 variances, the parametric ANOVA (F) test was used, followed by the *post-hoc* Tukey's test and  
1487 the Student's *t*-test (t). In cases of a non-normal distribution, the Kruskal-Wallis (H) test was  
1488 used, followed by the *post-hoc* Dunn's test and the Mann-Whitney (U) test. The proportions  
1489 were compared by the Chi-square test ( $\chi^2$ ). The Pearson's test (r) was used to determine  
1490 correlations with parametric variables and the Spearman's test (rS) for non-parametric variables.  
1491 The differences were considered statistically significant when  $p < 0.05$ .

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## 1493 RESULTS

### 1494 *General characteristics of control and DN groups*

1495 A total of 44 cases of DN were selected. The subjects had a median age of 53 (23-75)  
1496 years, where most were male (24; 54.55%) and white (34; 77.27%). The control group subjects  
1497 (n=23) had a median age of 44 (19-80) years with a male predominance (12; 52.17%).

1498 Most DN patients were hypertensive (26; 59.1%), had a mean time since diagnosis of  
1499 diabetes mellitus of  $13.66 \pm 6.58$  years, and a mean GBM thickness of  $750.69 \pm 184.03$  nm.  
1500 The laboratory data of these patients showed mean creatinine levels of  $2.22 \pm 1.47$  mg/dL and  
1501 mean urea levels of  $81.9 \pm 41.9$  mg/dL. The estimated glomerular filtration rate (eGFR) was  
1502 reduced, showing a mean of  $48.46 \pm 34.51$  mL/min/1.73m<sup>2</sup>. The mean proteinuria was within  
1503 the nephrotic range with values of  $5.02 \pm 4.35$  g/day. Regarding the DN classification, 27

1504 (61.5%) biopsies were classified as class III, corresponding to the nodular sclerosis class  
 1505 (Kimmelstiel-Wilson nodules), 11 (25%) as class IV, and 6 as classes I, IIa, and IIb. There were  
 1506 2 (4.5%) cases per class. The general characteristics of the patients are summarized in table 2.

1507 Table 2 General characteristics of the Control and DN groups

	Control Group (n=23)	DN Group (n=44)
<b>Age (years)</b>		
<i>Median (Min-Max)</i>	44 (19-80)	53 (23-75)
<i>Mean ± SD</i>	47±16.57	50.3 ± 13.73 <sup>a</sup>
<b>Gender n (%)</b>		
<i>Male</i>	12 (52.17%)	24 (54.55%) <sup>b</sup>
<i>Female</i>	11 (47.83%)	20 (45.55%)
<b>Color n (%)</b>		
<i>White</i>		34 (77.27%)
<i>Not white</i>		4 (9.09%)
<i>NI</i>		6 (13.64%)
<b>SAH n (%)</b>		
<i>Yes</i>		26 (59.1%)
<i>No</i>		7 (15.9%)
<i>NI</i>		11 (25%)
<b>Course DM (years)</b>		
<i>Mean ± SD</i>		13.66 ± 6.58
<b>GBM thickness (nm)</b>		
<i>Mean ± SD</i>		750.69 ± 184.03
<b>Classes DN (n)</b>		
<i>Class I</i>		2 (4.5%)
<i>Class IIa</i>		2 (4.5%)
<i>Class IIb</i>		2 (4.5%)
<i>Class III</i>		27 (61.5%)
<i>Class IV</i>		11 (25%)
<b>Urea (mg/dL)</b>		
<i>Mean ± SD</i>		81.9 ± 41.9
<b>Creatinine (mg/dL)</b>		
<i>Mean ± SD</i>		2.22 ± 1.47
<b>eGFR (mL/min/1.73m<sup>2</sup>)</b>		
<i>Mean ± SD</i>		48.46 ± 34.51
<b>Proteinuria (g/day)</b>		
<i>Mean ± SD</i>		5.02 ± 4.35

1508 DN: Diabetic Nephropathy. DM: Diabetes Mellitus. SAH: Systemic arterial hypertension.  
 1509 GBM: Glomerular basement membrane. eGFR: Estimated glomerular filtration rate. SD:  
 1510 Standard deviation.

1511 <sup>a</sup>: t= 0.8607; p=0.3927

1512 <sup>b</sup>:  $\chi^2 = 0.03417$ ; p=0.8533

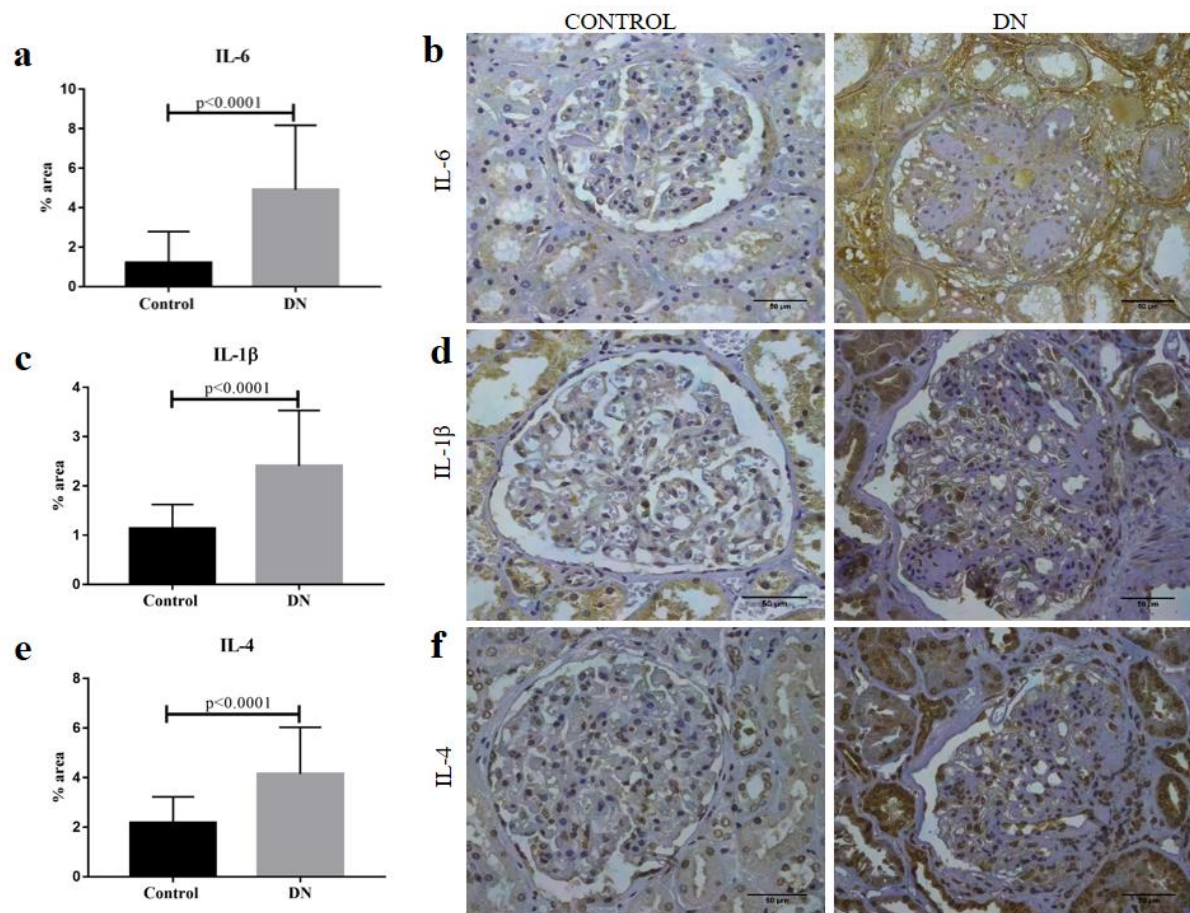
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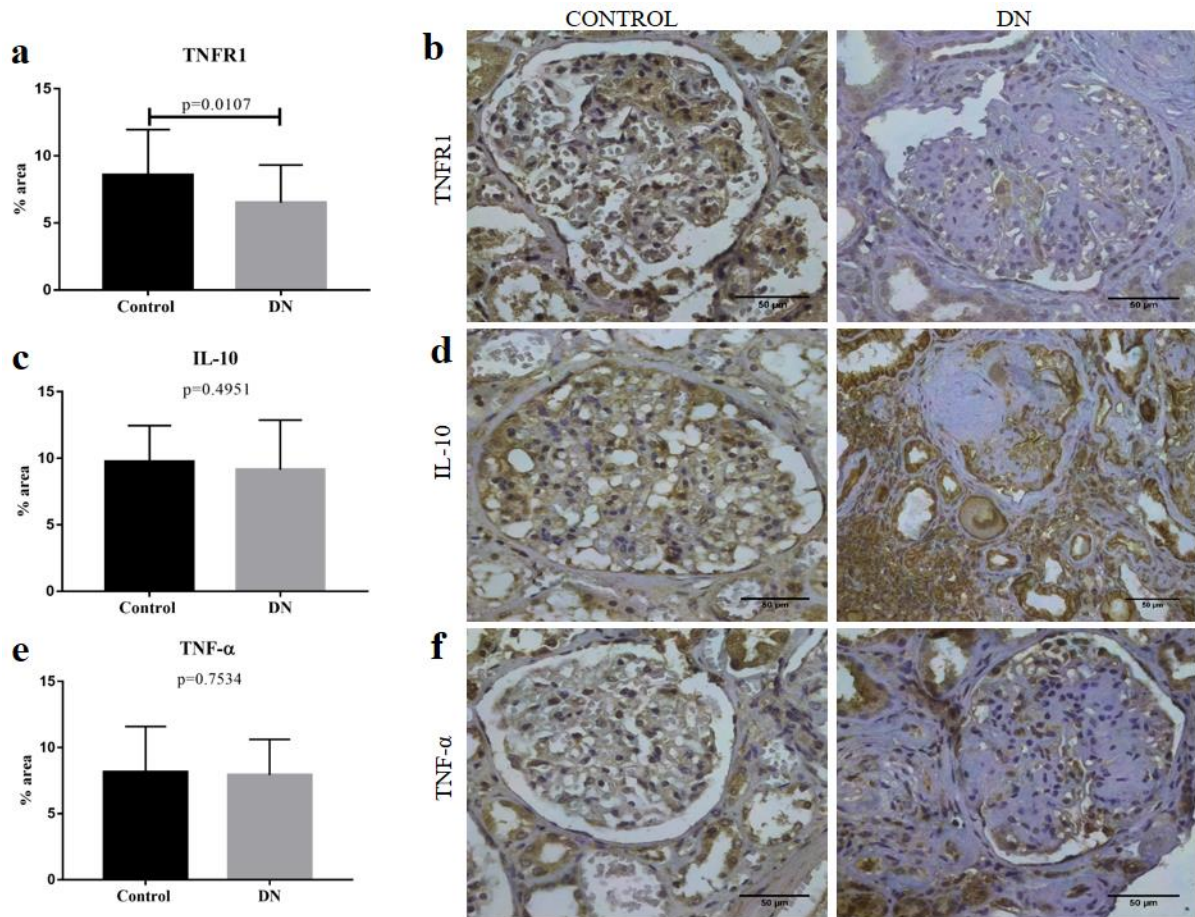
1515 *Role of cytokines and chemokines in diabetic nephropathy*

1516 The expression of inflammatory cytokines and chemokines was analyzed in patients  
 1517 with DN to determine their expression profile in this disease. The DN group showed a  
 1518 significant increase in the cytokines IL-6 ( $p < 0.0001$ ;  $U = 82$ , Figure 1A and 1B), IL-1 $\beta$   
 1519 ( $p < 0.0001$ ;  $t = 5.16$ , Figure 1C and 1D), and IL-4 ( $p < 0.0001$ ;  $U = 182$ , Figure 1E and 1F) and a  
 1520 decrease in TNFR1 ( $p = 0.0107$ ;  $t = 2.631$ , Figure 2A and 2B) compared to the control group. In  
 1521 contrast, there were no significant differences between the groups for the cytokines IL-10  
 1522 ( $p = 0.4951$ ;  $t = 0.6862$ , Figure 2C and 2D) and TNF- $\alpha$  ( $p = 0.7534$ ;  $t = 0.3155$ , Figure 2E and 2F).

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1524 Figure 1- *In situ* expression of IL-6, IL-1 $\beta$ , and IL-4 in the glomerular and tubulointerstitial  
 1525 compartments in patients with diabetic nephropathy (DN) and the control group. (a) IL-6  
 1526 expression in the control and DN groups. (b) IL-6 immunostaining in the control and DN  
 1527 groups. (c) IL-1 $\beta$  expression in the control and DN groups. (d) IL-1 $\beta$  immunostaining in the  
 1528 control and DN groups. (e) IL-4 expression in the control group and DN groups. (f) IL-4  
 1529 immunostaining in the control and DN groups. The results are expressed as mean  $\pm$  standard  
 1530 deviation. The bars represent the mean and the line above represents the standard deviation.  
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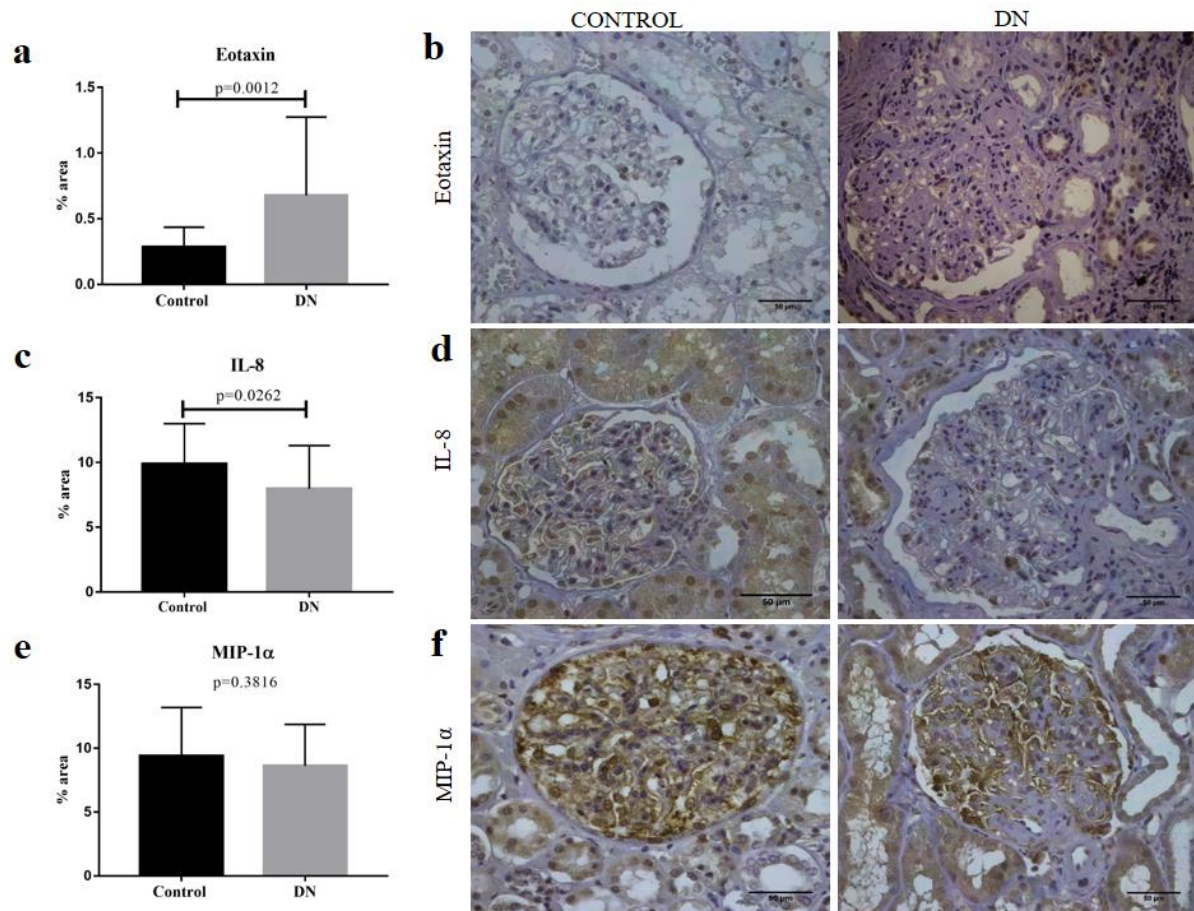


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Figure 2- *In situ* expression of TNFR1, IL-10, and TNF- $\alpha$  in the glomerular and tubulointerstitial compartments in patients with diabetic nephropathy (DN) and the control group. (a) TNFR1 expression in the control and DN groups. (b) TNFR1 immunostaining in the control and DN groups. (c) IL-10 expression in the control and DN groups. (d) IL-10 immunostaining in the control and DN groups. (e) TNF- $\alpha$  expression in the control and DN groups. (f) TNF- $\alpha$  immunostaining in the control and DN groups. The results are expressed as mean  $\pm$  standard deviation. The bars represent the mean and the line above represents the standard deviation.

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The analysis of chemokine expression showed a significant increase in eotaxin (p=0.0012; U=265.5, Figure 3A and 3B) expression and a decrease in IL-8 (p=0.0262; t=2.275, Figure 3C and 3D) expression in the DN group compared to the control group. However, there were no significant differences between the groups for MIP-1 $\alpha$  (p=0.3816; t=0.8811, Figure 3E and 3F) expression.



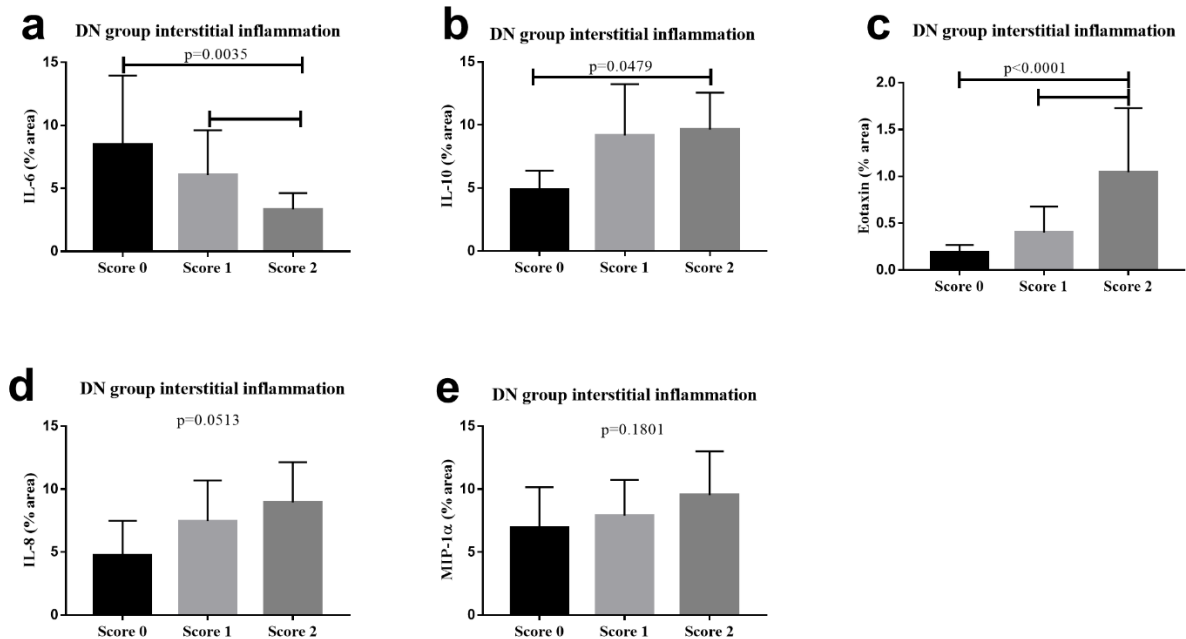
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Figure 3. *In situ* expression of the chemokines eotaxin, IL-8, and MIP-1 $\alpha$  in the glomerular and tubulointerstitial compartments in patients with diabetic nephropathy (DN) and the control group. (a) Eotaxin expression in the control and DN groups. (b) Eotaxin immunostaining in the control and DN groups. (c) IL-8 expression in the control and DN groups. (d) IL-8 immunostaining in the control and DN groups. (e) MIP-1 $\alpha$  expression in the control and DN groups. (f) MIP-1 $\alpha$  immunostaining in the control and DN groups. The results are expressed as the mean  $\pm$  standard deviation. The bars represent the mean and the line above represents the standard deviation.

1558 *Relationship of cytokines and chemokines in the evaluation of interstitial inflammation in*  
1559 *diabetic nephropathy*

1560 After determining the cytokine and chemokine expression profile in DN, we analyzed  
1561 how these inflammatory mediators could be related to the interstitial inflammation of this  
1562 disease. There was a significant increase in IL-6 in scores 0 and 1 compared to that in score 2  
1563 (p=0.0035; F=6.592, Figure 4A) and a significant increase in IL-10 in score 2 compared to that  
1564 in score 0 (p=0.0479; F=3.295, Figure 4B). For the chemokines, there was a significant increase  
1565 in eotaxin in score 2 compared to that in scores 0 and 1 (p<0.0001; H=19.19, Figure 4C),

1566 whereas IL-8 ( $p=0.0513$ ;  $F=3.208$ , Figure 4D) and MIP-1 $\alpha$  ( $p=0.1801$ ;  $F=5.203$ , Figure 4E)  
 1567 showed no significant differences between the groups.

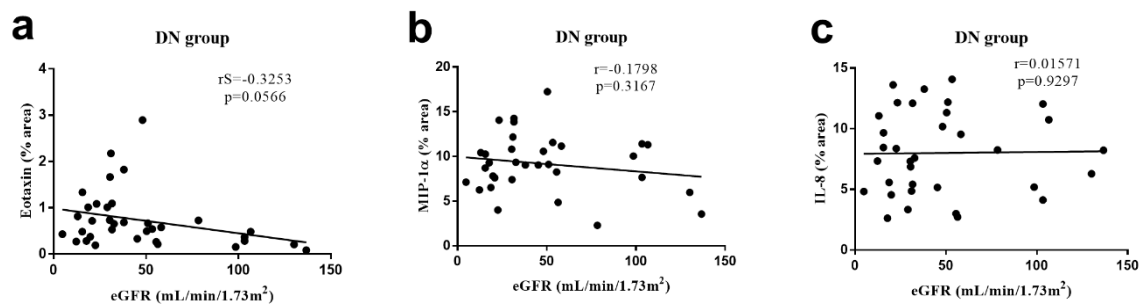


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 1569 Figure 4. Comparison between *in situ* cytokine and chemokine expression and interstitial  
 1570 inflammation in patients with diabetic nephropathy (DN). (a) IL-6 expression in cases classified  
 1571 as scores 0, 1, and 2 for interstitial inflammation in the DN group. (b) IL-10 expression in cases  
 1572 classified as scores 0, 1, and 2 for interstitial inflammation in the DN group. (c) Eotaxin  
 1573 expression in cases classified as scores 0, 1, and 2 for interstitial inflammation in the DN group.  
 1574 (d) IL-8 expression in cases classified as scores 0, 1, and 2 for interstitial inflammation in the  
 1575 DN group. (e) MIP-1 $\alpha$  expression in cases classified as scores 0, 1, and 2 for interstitial  
 1576 inflammation in the DN group. The results are expressed as mean  $\pm$  standard deviation. The  
 1577 bars represent the mean and the line above represents the standard deviation.  
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1579 *Correlation between the estimated glomerular filtration rate (eGFR) and chemokine expression*  
 1580 *in diabetic nephropathy*

1581 Since there was a predominant increase in chemokine expression as interstitial  
 1582 inflammation progressed, the potential correlation between chemokine expression and  
 1583 decreased eGFR was analyzed in the DN patients. It was observed that only eotaxin tended to  
 1584 have a negative correlation with eGFR ( $p=0.0566$ ;  $r_s=-0.3253$ , Figure 5A), while MIP-1 $\alpha$   
 1585 ( $p=0.3167$ ;  $r=-0.1798$ , Figure 5B) and IL-8 ( $p=0.9297$ ;  $r=0.01571$ , Figure 5C) showed no  
 1586 significant correlation.





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Figure 5. Correlation between estimated glomerular filtration rate (eGFR) and *in situ* chemokine expression in patients with diabetic nephropathy (DN). (a) Negative and significant correlation trend between eGFR and eotaxin in the DN group. (b) Non-significant correlation between eGFR and MIP-1 $\alpha$  in the DN group. (c) Non-significant correlation between eGFR and IL-8 in the DN group.

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## DISCUSSION

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This study analyzed the *in situ* expression of cytokines and chemokines in the renal biopsies of patients with DN and related this expression with interstitial inflammation and eGFR to improve our understanding of the immune and inflammatory mechanisms that may be acting directly on the kidney and decreasing renal function. Our results showed an increase expression of proinflammatory cytokines IL-6 and IL-1 $\beta$ , as well as of the Th2 cytokine, IL-4 and the chemokine eotaxin in DN patients. In contrast, TNFR1 and IL-8 expression was reduced in DN. These findings suggest that in DN there may be a simultaneous sharing of cytokine actions of the innate and acquired immune response, associated with the increase of a potent eosinophilic chemokine.

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The analysis of interstitial inflammation showed that eotaxin expression may play an important role in interstitial inflammation in DN, since its expression was increased exclusively in score 2, in which interstitial inflammation is related to areas other than IFTA and represents a more severe condition. On the other hand, IL-6 expression was higher in scores 0 and 1 compared to that in score 2, whereas IL-10 expression was higher in score 2 compared to that in score 0. Possibly, increase IL-10 expression in score 2 may be affecting IL-6 expression through its pro-fibrotic and anti-inflammatory action.

1611 Further supporting the role of eotaxin in DN, in addition to the relationship between its  
1612 increased expression and interstitial inflammation in patients with DN, there is a correlation  
1613 between eotaxin expression and decreased eGFR. Therefore, the action of eotaxin on DN may  
1614 influence both interstitial inflammation and eGFR, indicating its possible role in the  
1615 pathogenesis of DN.

1616 Kidney cells (endothelial, mesangial, epithelial, and tubular) are able to synthesize  
1617 cytokines and chemokines in a specific manner for cells and stimuli. Cytokines, chemokines,  
1618 growth factors, adhesion molecules, nuclear factors, and immune cells, such as monocytes,  
1619 lymphocytes, and macrophages, have been previously demonstrated to be implicated in the  
1620 pathogenesis of DN (20-22).

1621 IL-1 $\beta$  and IL-6 are among the cytokines that play an important role in the pathogenesis  
1622 of DN, affecting renal and infiltrating cells. IL-1 $\beta$  induces the expression of the intercellular  
1623 adhesion molecule 1 (ICAM-1) via mesangial and tubular cells and increases the vascular  
1624 permeability and the expression of chemokines, resulting in the proliferation and synthesis of  
1625 the extracellular matrix (ECM) in the glomerular mesangium (23). IL-6 acts on mesangial cell  
1626 proliferation and promotes the synthesis of ECM and the thickening of the GBM, in addition to  
1627 affecting vascular permeability and facilitating neutrophil infiltration into the  
1628 tubulointerstitium, leading to DN progression (22, 24). Studies using experimental DN models  
1629 have shown a correlation between the increased renal expression of IL-1 $\beta$  and the increased  
1630 expression of chemotactic factors and adhesion molecules (25, 26). Previously, T2DM patients  
1631 with DN were found to show an increased production of IL-6, which was associated with a  
1632 thickening of the GBM and was considered a strong marker of renal function decline (27). Since  
1633 the thickening of the GBM is the earliest morphological alteration in DN associated with  
1634 increased IL-6 production, it is possible that increased *in situ* IL-6 expression occurs from the  
1635 early stages of DN, whereby patients show increased expression even without interstitial

1636 inflammation (score 0) or in score 1. Moreover, actions associated with increased IL-1 $\beta$  and IL-  
1637 6 expression promote greater cell infiltration in the kidney, which may exacerbate the  
1638 inflammatory process and lead to impaired renal function.

1639         Studies have reported that the serum IL-10 levels are elevated in T2DM patients with  
1640 DN and that there is a positive correlation between IL-10 and albuminuria (28-30). It has been  
1641 shown that mononucleated cells are able to adopt an anti-inflammatory phenotype in the tissue  
1642 repair process later in the course of inflammation, which is believed to occur after exposure to  
1643 IL-10. These cells eliminate cellular and matrix debris and generally promote the resolution of  
1644 renal inflammation, stimulating renal tubular cell proliferation and angiogenesis (31). The  
1645 increased IL-10 production most probably represents a compensatory mechanism due to the  
1646 increase in the expression of proinflammatory cytokines and is a negative regulator of  
1647 inflammation, which corroborates our findings.

1648         Although IL-4 stimulates the synthesis of ECM through glomerular mesangial and  
1649 epithelial cells, DN patients were found to have low serum levels of IL-4 (9). Furthermore, no  
1650 significant differences were found of this cytokine serum levels when comparing patients with  
1651 and without DN (14). However, our results show that the *in situ* expression of IL-4 is increased  
1652 in patients with DN. The main morphological alteration associated with DN is the progressive  
1653 accumulation of ECM, which may account for the increased expression and suggests that the  
1654 action of IL-4 is more effective *in situ*, in promoting the synthesis of ECM, than systemically.

1655         Studies with T2DM patients have shown that only TNFR1 and TNFR2 receptors are  
1656 associated with a risk of end-stage renal disease, wherein elevated serum levels of TNFR1 are  
1657 associated with DN (32) and decreased renal function (33, 34). TNFR1 is mainly present in the  
1658 glomerular cells and endothelial cells of the peritubular capillaries (35). High serum levels of  
1659 TNFR1 have been associated with global sclerosis, increased ECM, decreased glomerular  
1660 filtration, and foot process effacement in T2DM patients (36). Although *in vitro*-activated

1661 TNFR1 induces tissue damage via proinflammatory signals and/or cell death (37), the  
1662 mechanisms associating TNF receptors with DN remain unknown (36, 38). However, it has  
1663 been shown that glomerular and tubular TNFR1 expression is not associated with a loss of renal  
1664 function nor with any clinical parameters in DN patients (39). Our results showed decreased *in*  
1665 *situ* expression of TNFR1 in DN, which suggests that elevated serum levels of TNFR1 may be  
1666 mostly implicated in the progression of DN.

1667 Eotaxin is a CC chemokine that is mainly chemotactic for eosinophils, from the  
1668 activation of its CCR3 receptor, and is secreted by endothelial cells, macrophages, fibroblasts,  
1669 and smooth muscle cells (40). Roy et al. showed that eotaxin could be used as an independent  
1670 predictor of renal failure. However, the relationship between an increased eotaxin plasma  
1671 concentration and the progression to renal failure in diabetic patients remains poorly understood  
1672 (41). Elevated levels of urinary eotaxin are associated with prolonged hyperglycemia and  
1673 microalbuminuria in T2DM patients (42). In the kidneys, eotaxin has been reported to  
1674 contribute to renal interstitial eosinophilia; however, these results do not refer to DN (43).

1675 The slow and continuous decline of renal function is associated with progressive  
1676 tubulointerstitial damage and renal fibrosis, which is characterized by the accumulation of  
1677 leukocytes, fibroblasts, EMC, and tubular atrophy (2, 44). The accumulation of macrophages  
1678 and lymphocytes in the interstitium is critical for tubular and interstitial damage, since these  
1679 cells are the main sources of proinflammatory and pro-fibrotic cytokines (45, 46). Eotaxin is a  
1680 potent chemoattractant chemokine and/or activator of eosinophils but may also be involved in  
1681 the regulation of other cells. In atherosclerosis, smooth muscle cells express eotaxin and  
1682 macrophages and mast cells express the CCR3 receptor, suggesting that eotaxin and its receptor  
1683 contribute to the recruitment and activation of inflammatory cells in the atheroma (47). It was  
1684 also observed that Th2 lymphocytes, neutrophils, and bronchial endothelial cells also express

1685 the CCR3 receptor, suggesting the potential role of eotaxin in the non-eosinophilic  
1686 inflammatory process (48, 49).

1687         Macrophages are the main inflammatory cells involved in renal damage, the  
1688 accumulation of which is correlated with the severity of DN (5, 50, 51) and mesangial  
1689 expansion (52). Mast cells also infiltrate the tubulointerstitial compartment and release  
1690 inflammatory mediators and proteolytic enzymes. The intensity of macrophage infiltration and  
1691 the extent of mast cell degranulation has been previously associated with the level of  
1692 tubulointerstitial inflammation and with decreased eGFR in DN (53). Thus, we suggest that the  
1693 increased *in situ* expression of eotaxin may be related to its contribution to the recruitment and  
1694 activation of cells other than eosinophils, which strongly promotes further infiltration and  
1695 accumulation of these cells in the kidney. This may also account for the decreased *in situ*  
1696 expression of IL-8 found in the patients studied here. The action of eotaxin associated with the  
1697 action of inflammatory cytokines may worsen the inflammatory process and impair renal  
1698 function, which corroborates our findings and suggests that eotaxin exerts an *in situ* role in the  
1699 pathogenesis of DN.

1700         Therefore, our findings indicate that the *in situ* expression analysis of cytokines and  
1701 chemokines, especially eotaxin, could be used to assist in the analysis of renal function  
1702 impairment based on the analysis of interstitial inflammation developed in patients with DN.

1703

#### 1704 CONCLUSION

1705         Our results show that the *in situ* expression of cytokines and chemokines, including IL-  
1706 6, IL-1 $\beta$ , IL-4, and eotaxin, is increased in patients with DN. In addition, eotaxin plays an  
1707 important role in the progression of interstitial inflammation in DN patients and may be related  
1708 to decreased eGFR in these patients.

1709

- 1710 LIST OF ABBREVIATIONS
- 1711 DN: Diabetic nephropathy
- 1712 eGFR: Estimated glomerular filtration rate
- 1713 ECM: Extracellular matrix
- 1714 F: ANOVA test
- 1715 FITC: Fluorescein isothiocyanate
- 1716 GBM: Glomerular basement membrane
- 1717 H: Kruskal-Wallis test
- 1718 H&E: Hematoxylin and eosin
- 1719 ICAM-1: Intercellular adhesion molecule 1
- 1720 IF: Direct immunofluorescence
- 1721 IFTA: Interstitial fibrosis and tubular atrophy
- 1722 Ig: Immunoglobulin
- 1723 IL: Interleukin
- 1724 LM: Light Microscopy
- 1725 MIP-1 $\alpha$ : Macrophage inflammatory protein-1 $\alpha$
- 1726 nm: Nanometers
- 1727 r: Pearson test
- 1728 rS: Spearman test
- 1729 SAH: Systemic arterial hypertension
- 1730 SD: Standard deviation
- 1731 t: Student's t test
- 1732 T2DM: Type 2 diabetes mellitus

1733 TEM: Transmission electron microscopy

1734 TNF- $\alpha$ : Tumor necrosis factor- $\alpha$

1735 TNFR1: Tumor necrosis factor receptor-1

1736 TNFR2: Tumor necrosis factor receptor-2

1737 U: Mann Whitney test

1738  $\chi^2$ : Chi square test

1739

1740 DECLARATIONS

1741 **Ethics approval and consent to participate**

1742 The patients involved in the study signed a consent form and this study was approved by the

1743 Ethics Committee of the Federal University of Triangulo Mineiro, Protocol 3.001.006.

1744

1745 **Consent for publication**

1746 Not applicable.

1747

1748 **Availability of data and materials**

1749 All data generated or analysed during this study are included in this published article.

1750

1751 **Competing interests**

1752 We declare not having conflict of interest related to this study.

1753

1754 **Funding**

1755 Not applicable.

1756

1757 **Authors' contributions**

- 1758 Conceptualization: JRM and LSA
- 1759 Formal analysis: JRM and LSA
- 1760 Performed the pathological diagnosis: MAR and MLGRM
- 1761 Methodology: LSA, BGST, CAS, ALMSM and MVS
- 1762 Supervised the manuscript: JRM
- 1763 Writing – original draft: LSA
- 1764 Writing – review and editing: LSA, BGST, CAS, ALMSM, MLGRM, MVS, MAR and JRM
- 1765 All authors read and approved the final manuscript.

1766

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*Considerações finais*

1916 **CONSIDERAÇÕES FINAIS**1917 **ARTIGO I**

- 1918 I. Os indivíduos envolvidos no estudo apresentavam idade entre 18 a 94 anos, sendo a  
1919 maioria do gênero feminino e da cor branca. A maioria dos pacientes DMT2 eram  
1920 hipertensos, tinham mediana de IMC em torno de 27 (Kg/m<sup>2</sup>) e relataram o uso de  
1921 insulina no controle do diabetes associado a outros medicamentos hipoglicemiantes. O  
1922 grupo DMT2 com AR apresentou maior curso da doença e maiores níveis séricos de  
1923 ureia e creatinina em relação ao grupo DMT2 sem AR. Enquanto que os valores séricos  
1924 de colesterol total, HDL-c e triglicérides foram similares entre os grupos.
- 1925 II. Os pacientes DMT2 apresentaram diminuição dos níveis séricos de IL-4 e aumento dos  
1926 níveis séricos de IL-8, eotaxina, MIP-1 $\alpha$  e MIP-1 $\beta$  em comparação ao grupo controle.  
1927 O grupo DMT2 com AR apresentou diminuição dos níveis séricos de IL-4 em  
1928 comparação ao grupo controle e independente da alteração renal foi observado aumento  
1929 de IL-8 e MIP-1 $\alpha$  em comparação ao grupo controle.
- 1930 III. Os pacientes DMT2 apresentaram aumento de TNFR1, adiponectina e resistina, e  
1931 diminuição dos níveis séricos de TNFR2 em comparação ao grupo controle. O grupo  
1932 DMT2 com AR apresentou aumento de TNFR1 e leptina em comparação aos grupos  
1933 DM2 sem AR e controle, como também, de adiponectina em comparação ao grupo  
1934 controle, sendo que os níveis elevados de resistina foram independentes da alteração  
1935 renal em comparação ao grupo controle.
- 1936 IV. A TFG<sub>e</sub> correlacionou-se de forma positiva com a IL-4, e negativa com TNFR1, TNFR2  
1937 e Leptina nos pacientes DMT2. Já no grupo DMT2 com AR, a TFG<sub>e</sub> correlacionou-se  
1938 de forma negativa com TNFR1 e resistina. Ainda foi observado que neste grupo o  
1939 TNFR1 correlacionou-se de forma positiva com a resistina e a leptina, da mesma forma,  
1940 a resistina correlacionou-se com a leptina e IL-8.

1941

1942 **ARTIGO II**

- 1943 V. Os casos de ND apresentaram mediana de idade de 53 (23-75) anos, dos quais a maioria  
1944 eram do gênero masculino e brancos. No grupo controle a mediana de idade foi de 44  
1945 (19-80) anos com predomínio do gênero masculino. A maioria dos pacientes com ND  
1946 eram hipertensos e apresentavam média do tempo de DM de 13,66  $\pm$  6,58 anos e média  
1947 da espessura da MBG de 750,69  $\pm$  184,03 nm. A média da creatinina foi de 2,22  $\pm$  1,47  
1948 mg/dL e de ureia 81,9  $\pm$  41,9 mg/dL. A TFG<sub>e</sub> apresentou-se diminuída e a média da

- 1916            proteinúria se manteve em níveis nefróticos. A maioria dos casos de ND foram  
1917            classificados em classe III.
- 1918    VI.    Aumento da expressão de IL-6, IL-1 $\beta$ , IL-4 e eotaxina, e diminuição da expressão de  
1919            TNFR1 e IL-8 foram observados no grupo ND em comparação ao grupo controle.
- 1920    VII.   A expressão de eotaxina apresentou tendência de correlação negativa com a TFG $\epsilon$ .
- 1921    VIII.  Na inflamação intersticial, aumento da expressão de IL-6 foi observado nos escores 0 e  
1922            1 em relação ao escore 2, e aumento da expressão de IL-10 no escore 2 em relação ao  
1923            escore 0 nos casos de ND. Dentre as quimiocinas, a expressão de eotaxina foi aumentada  
1924            no escore 2 em comparação aos escores 0 e 1.

*Conclusões*

1925 **CONCLUSÕES**

1926

1927       Nosso estudo mostrou que de forma sistêmica os elevados níveis séricos de TNFR1, das  
1928 adipocinas (adiponectina, resistina e leptina) e das quimiocinas (IL-8, MIP-1 $\alpha$ , MIP-1 $\beta$  e  
1929 eotaxina) e diminuição de IL-4, desempenham papel importante no processo inflamatório  
1930 desenvolvido no DMT2 e na diminuição da função renal. Além disso, o TNFR1 sérico mostrou-  
1931 se um forte preditor de disfunção renal nos pacientes com DMT2. No entanto, na avaliação da  
1932 expressão *in situ*, os casos de pacientes com ND apresentaram aumento das expressões de IL-  
1933 6, IL-1 $\beta$ , IL-4 e eotaxina. Sendo observado que a expressão de eotaxina pode estar  
1934 desempenhando um importante papel na progressão da inflamação intersticial na ND, como  
1935 também pode estar relacionada com a diminuição da TFGe nestes pacientes. Dessa maneira,  
1936 podemos concluir que as análises de mediadores inflamatórios séricos e *in situ* são importantes  
1937 e se complementam, no auxílio da avaliação do comprometimento da função renal a partir da  
1938 análise do processo inflamatório desenvolvido no DMT2 e na ND.



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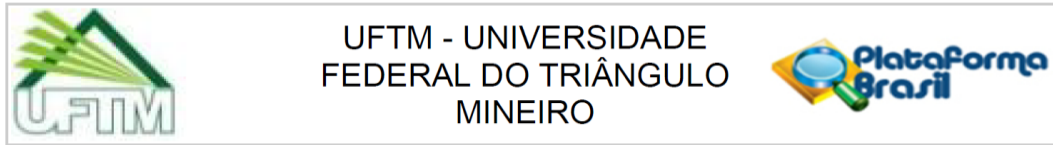
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## ANEXO A – Parecer consubstanciado do CEP



### PARECER CONSUBSTANCIADO DO CEP

#### DADOS DO PROJETO DE PESQUISA

**Título da Pesquisa:** Avaliação histopatológica e de citocinas séricas em pacientes diabéticos com ou sem alteração renal

**Pesquisador:** Juliana Reis Machado e Silva

**Área Temática:**

**Versão:** 4

**CAAE:** 88997018.6.0000.5154

**Instituição Proponente:** Universidade Federal do Triângulo Mineiro

**Patrocinador Principal:** Financiamento Próprio

#### DADOS DO PARECER

**Número do Parecer:** 3.001.006

#### Apresentação do Projeto:

Segundo os pesquisadores:

A Nefropatia Diabética é considerada a principal causa de insuficiência renal terminal com necessidade de terapia renal substitutiva. De acordo com os dados da International Diabetes Federation, o número de diabéticos na população adulta com idade superior a 20 anos poderá ultrapassar 430 milhões em 2030 (Atkins, 2005).

A patogênese da Nefropatia Diabética vem sendo intensamente investigada. Pouco se sabe sobre os mecanismos envolvidos na evolução e progressão desta entidade. Alterações nos podócitos e na espessura da membrana basal glomerular (MBG) são cruciais para o aparecimento gradual de proteinúria nestes pacientes (White, Bilous e Group, 2004).

O podócito é um dos componentes responsável pela integridade da barreira de filtração glomerular, alterações como achatamento ou redução no número de células podem levar ao desenvolvimento de proteinúria (Lemley et al., 2002). Estudos revelam que o apagamento dos processos podocitários é evidente nas fases de proteinúria maciça, porém, foi observado que os pacientes normoalbuminúricos ou microalbuminúricos também podem apresentar certo grau de apagamento e que a gravidade destas lesões é proporcional ao aumento da taxa de excreção de albumina (Perrin et al., 2006; Toyoda et al., 2007).

Foi observado que lesões podocitárias graves podem levar a perda de podócitos pela urina com consequente diminuição do número de podócitos no glomérulo. Pacientes com Diabetes Mellitus

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Continuação do Parecer: 3.001.006

**Situação do Parecer:**

Aprovado

**Necessita Apreciação da CONEP:**

Não

UBERABA, 05 de Novembro de 2018

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**Assinado por:**

**Alessandra Cavalcanti de Albuquerque e Souza**  
(Coordenador(a))

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